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**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

UMG RECORDINGS, INC.,

Plaintiff,

v.

OPENDEAL INC. D/B/A REPUBLIC,

Defendant.

Case No. _____

COMPLAINT

Plaintiff UMG Recordings, Inc. (“Plaintiff”), by its undersigned attorneys, for its Complaint against Defendant OpenDeal Inc. d/b/a Republic (“Defendant”), alleges as follows:

NATURE OF THE ACTION

1. All of the claims asserted herein arise out of and are based on Defendant’s willful infringement of Plaintiff’s rights in the REPUBLIC and REPUBLIC RECORDS trademarks (together, “Plaintiff’s REPUBLIC Marks”).

2. Since its founding in 1995, Plaintiff’s REPUBLIC RECORDS label has grown into one of the most successful and renowned record labels in the world. The consuming public, music industry professionals, and even the label itself have often referred to REPUBLIC RECORDS simply as “REPUBLIC” for over twenty-five years, and Plaintiff will do the same

throughout this Complaint unless otherwise indicated. Today, REPUBLIC is home to an all-star roster of multi-platinum, award-winning artists such as Taylor Swift, Ariana Grande, Nicki Minaj, Drake, The Weeknd, Post Malone, Jonas Brothers, Stevie Wonder, and John Mellencamp to name just a few. *Billboard* magazine has named REPUBLIC the overall “Top Label of the Year” for four of the last six years, and the top “Hot 100” label seven times in the past decade. In 2021, REPUBLIC also won label of the year at the inaugural *Clio Music of the Year Awards*. Through widespread use and commercial success, Plaintiff’s REPUBLIC Marks have become well known in the music industry as identifying Plaintiff and its goods and services exclusively.

3. Plaintiff also owns trademark registrations issued by the U.S. Patent and Trademark Office for its REPUBLIC RECORDS trademark for a wide range of music-related goods and services.

4. Plaintiff brings this action to stop Defendant’s wanton effort to usurp Plaintiff’s REPUBLIC name and trademarks for itself in connection with new music-related services that Defendant recently launched under the names REPUBLIC and/or REPUBLIC MUSIC (together, “Defendant’s REPUBLIC Marks”).

5. According to Defendant, its new REPUBLIC-branded services allow fans to “invest in [their] favorite artists’ songs and earn returns from streaming royalties” for those songs. This “investment” in turn also gives fans access to music, tickets, artist merchandise, and other special events—all things that are typically associated with a record label. Indeed, Defendant advertises its new REPUBLIC-branded music services as the record label of the future, promising artists that “the fans are your new record label.” If Defendant delivers on this promise, then the artists, labels, managers, agents, and fans who currently know of only

Plaintiff's REPUBLIC label would be presented with two different companies offering identical services under identical names in the same industry. Confusion is inevitable.

6. In the short time since Defendant announced the launch of its music-related services offered under Defendant's REPUBLIC Marks, there have already been instances of actual confusion among members of the public and industry professionals who mistakenly believed that there is an association between Plaintiff and Defendant. If Defendant's use is not stopped, there will no doubt be further instances of actual confusion.

7. Plaintiff sought a prompt resolution of this matter without litigation by sending a cease and desist letter to Defendant on October 8, 2021, shortly after Defendant issued a press release announcing the launch of REPUBLIC MUSIC. In response to this cease and desist letter, Defendant initially stated it was open to pursuing an amicable resolution, and Plaintiff and outside counsel for Defendant engaged in settlement discussions throughout October 2021 in this regard. However, Defendant recently ceased engaging in settlement discussions. Instead, Defendant launched its new music-related services on November 4, 2021, making it clear that Defendant refuses to respect Plaintiff's longstanding rights.

8. Accordingly, to protect the goodwill built up in Plaintiff's REPUBLIC Marks over many years and to protect consumers from Defendant's deceptive conduct, Plaintiff brings this action for infringement of a federally-registered trademark in violation of Section 32(1) of the U.S. Trademark Act of 1946, as amended ("Lanham Act"), 15 U.S.C. § 1114(1); unfair competition and false designation of origin under Section 43(a) of the Lanham Act, 15 U.S.C. § 1125(a); trademark infringement and unfair competition in violation of New York common law; and dilution in violation of New York state law. Plaintiff seeks both injunctive and monetary relief.

THE PARTIES

9. Plaintiff UMG Recordings, Inc. is a corporation duly organized and existing under the laws of Delaware with its principal place of business at 2220 Colorado Avenue, Santa Monica, California 90404.

10. Upon information and belief, Defendant OpenDeal Inc.—which does business under the name “Republic”—is a corporation duly organized and existing under the laws of Delaware with its principal place of business at 335 Madison Avenue, 16th Floor, New York, New York 10017.

JURISDICTION AND VENUE

11. The Court has original jurisdiction over the subject matter of this action pursuant to Section 39 of the Lanham Act, 15 U.S.C. § 1121, and under Sections 1331, 1338(a) and 1338(b) of the Judicial Code, 28 U.S.C. §§ 1331, 1338(a) and 1338(b). The Court has supplemental jurisdiction over the related state law claims pursuant to 28 U.S.C. § 1367(a).

12. The Court has personal jurisdiction over Defendant pursuant to N.Y. C.P.L.R. §§ 301 and 302 because, upon information and belief, Defendant (i) operates its principal place of business in New York City; (ii) transacts business within New York State by offering its infringing music-related services under Defendant’s REPUBLIC Marks to New York consumers; (iii) has engaged in tortious conduct within New York State, including through the marketing, promotion, advertising, sale, and/or offering of its infringing music-related services under Defendant’s REPUBLIC Marks; and (iv) has engaged in tortious conduct outside New York State causing injury within this judicial district and state, including through the marketing, promotion, advertising, and/or offering of its infringing music-related services under Defendant’s

REPUBLIC Marks that are targeted at New York consumers, and it regularly does or solicits business within New York State and has derived substantial revenue from such services.

13. Venue is proper pursuant to 28 U.S.C. §§ 1391(b) and (c) because a substantial part of the events giving rise to the claims asserted herein occurred in this District, because Defendant is based in this District, and because Defendant is subject to this Court's personal jurisdiction with respect to this action.

FACTS COMMON TO ALL CLAIMS FOR RELIEF

A. Plaintiff, the REPUBLIC Label, and Plaintiff's REPUBLIC Marks

14. Plaintiff is part of Universal Music Group, which today is the world's largest music company with leading market positions in recorded music, music publishing, and merchandising.

15. As the world's largest music company, Universal Music Group owns some of the most iconic and influential record labels in the industry. Even among these storied labels, Plaintiff's REPUBLIC label stands out as perhaps the most innovative, creative, and commercially-successful label of the past decade.

16. Founded in 1995, REPUBLIC is home to an all-star roster of multi-platinum, award-winning music superstars, including Ariana Grande, Nicki Minaj, Taylor Swift, Drake, Florence + the Machine, Greta Van Fleet, Hailee Steinfeld, Jack Johnson, James Blake, James Bay, Jessie J, John Mellencamp, Jonas Brothers, Julia Michaels, Kid Cudi, Lil Wayne, Lorde, Metro Boomin, NAV, Of Monsters and Men, Pearl Jam, Post Malone, Stevie Wonder, The Weeknd and many more.

17. Under Plaintiff's REPUBLIC Marks, Plaintiff has helped recording artists around the world by coordinating the production, manufacture, distribution, marketing, and promotion

of their sound recordings and music videos. These recording artists rely upon the REPUBLIC label to broaden their fan base, market their albums, sell their merchandise, and promote their singles on streaming services, radio, and television. As a record label, REPUBLIC also actively scouts and works to develop upcoming talent and new artists.

18. In the last few years alone, REPUBLIC has had numerous prominent and well-received musical releases from artists such as Ariana Grande (2016's *Dangerous Woman*, 2018's *Sweetener*, 2019's *Thank U, Next*, and 2020's *Positions*); Jonas Brothers (2019's *Happiness Begins*); Taylor Swift (2019's *Lover*, and 2020's *Folklore* and *Evermore*); The Weeknd (2015's *Beauty Behind the Madness*, 2016's *Starboy*, and 2020's *After Hours*); Lorde (2013's *Pure Heroine* and 2017's *Melodrama*); James Blake (2019's *Assume Form*); and Pearl Jam (2020's *Gigaton*), just to name a few.

19. Plaintiff prominently uses Plaintiff's REPUBLIC Marks in connection with Plaintiff's musical goods and services, including on album covers for artists signed to the REPUBLIC label and throughout its www.republicrecords.com website, as shown by way of example below:



Ariana Grande's 2020 *Positions* Album



Taylor Swift's 2020 *Evermore* Album

20. Press coverage and awards for both the REPUBLIC label and its artists have further enhanced the recognition and reputation of Plaintiff's REPUBLIC Marks. By way of example only, *Billboard* magazine named REPUBLIC the "Top Label of the Year" in 2015, 2016, 2018 and 2019, and the top "Hot 100" label seven times in the past decade. In 2019 alone, REPUBLIC was home to the top-selling male artist of 2019 (Post Malone), the top-selling female artist of 2019 (Ariana Grande), and the top-selling group of 2019 (Jonas Brothers). Attached hereto as **Exhibit A** is a copy of the *Billboard* article titled "The Year in Charts 2019: Republic Records Is Top Label of the Year" which announced these honors. Adding to these superlatives, in 2021, REPUBLIC won label of the year at the inaugural *Clio Music of the Year Awards* (which are awards dedicated to excellence in music marketing and promotion). Attached hereto as **Exhibit B** is a copy of a *Billboard* article titled "Republic Records Wins 2021 Clio Music Award for The Weeknd's 'After Hours' Album Campaign" which announced this honor.

21. By way of another example, the REPUBLIC label garnered significant attention in 2018 when Taylor Swift announced that she would be joining REPUBLIC after spending thirteen years at her prior label. This transition was highly publicized in the media and received

praise for putting artists first. Attached hereto as **Exhibit C** is a copy of a *Rolling Stone* article titled “Taylor Swift Signs With Republic Records and UMG, Her First New Home in 13 Years,” which discusses Ms. Swift joining REPUBLIC.



22. Plaintiff’s REPUBLIC label also invests in numerous third parties, including ventures and labels owned by its artists, managers, and other entrepreneurs and innovators. Many of these labels and companies are award-winning in their own right.

23. Plaintiff’s goods and services offered under Plaintiff’s REPUBLIC Marks have achieved considerable commercial success in the United States. Sales of albums by artists signed to REPUBLIC reach many millions of dollars annually. Plaintiff has invested a significant amount of time, effort and money in developing and selling its goods and services offered under Plaintiff’s REPUBLIC Marks. As a result of these efforts and the marketplace success of Plaintiff’s offerings under Plaintiff’s REPUBLIC Marks, the marks have come to identify Plaintiff exclusively and uniquely in the music industry, and represent enormous goodwill.

24. Universal Music Group is also expanding into the non-fungible token (“NFT”) space. The company is in talks with leading innovators in the NFT space to sell unique artwork, music, and content associated with all of the labels under the Universal Music Group umbrella—including REPUBLIC.

25. In addition to Plaintiff’s extensive common law rights in Plaintiff’s REPUBLIC Marks built up through decades of use and promotion in the United States, Plaintiff also owns federal trademark registrations for the REPUBLIC RECORDS mark. Specifically, the U.S. Patent and Trademark Office has issued to Plaintiff the following trademark registrations:

Mark	Reg. No.	Reg. Date	Goods and Services
REPUBLIC RECORDS	5,417,675	3/6/2018	“Musical sound recordings; Downloadable musical sound recordings” in International Class 9 based on first use of the mark in 1995
REPUBLIC RECORDS	5,417,677	3/6/2018	“Production and publishing of music; providing online entertainment, namely, providing non-downloadable musical sound recordings; audio and sound recording and production; record production; entertainment in the nature of live concerts and performances by musical artists and groups; entertainment services, namely, providing on-line reviews of music and musical artists; entertainment services, namely, providing information in the field of music, and commentary and articles about music, all on-line via a global computer network; conducting entertainment exhibitions in the nature of music festivals” in International Class 41 based on first use of the mark in 1995
	*5,073,418	11/1/2016	“Musical sound recordings; downloadable musical sound recordings” in International Class 9 based on first use of the mark in 2012

Mark	Reg. No.	Reg. Date	Goods and Services
	*5,073,419	11/1/2016	“Production and publishing of music; providing online entertainment, namely, providing non-downloadable musical sound recordings; audio and sound recording and production; record production; entertainment in the nature of live concerts and performances by musical artists and groups; entertainment services, namely, providing on-line reviews of music and musical artists; entertainment services, namely, providing information in the field of music, and commentary and articles about music, all on-line via a global computer network; conducting entertainment exhibitions in the nature of music festivals” in International Class 41 based on first use of the mark in 2012
	5,822,138	7/30/2019	“Clothing, namely, jackets, shirts, sweat jackets, sweat shirts, sweaters, tops, t-shirts; headwear, namely, caps, and hats” in International Class 25 based on first use of the mark in 2012

26. These registrations are valid, subsisting, and in full force and effect and serve as *prima facie* proof of Plaintiff’s exclusive right to use the marks in connection with the goods and services identified therein, as provided by Section 33(a) of the Lanham Act, 15 U.S.C. § 1115(a). Moreover, the two registrations marked with an asterisk (*) have become incontestable pursuant to Section 15 of the Lanham Act, 15 U.S.C. § 1065, and therefore serve as conclusive evidence of the validity of the marks, of Plaintiff’s ownership of the marks, and of Plaintiff’s exclusive right to use the marks in connection with the goods and services identified in those registrations. *See* 15 U.S.C. § 1115(b). As a matter of law, all of these registrations serve to place Defendant on constructive notice of Plaintiff’s rights in Plaintiff’s REPUBLIC Marks. *See* 15 U.S.C. §

1072. Printouts from the official database of the U.S. Patent and Trademark Office showing status and title of Plaintiff’s registrations for Plaintiff’s REPUBLIC Marks are attached as

Exhibit D.

B. Defendant’s Infringing Activity

27. Upon information and belief, Defendant OpenDeal Inc.—which does business under the name Republic—was formed in April 2016 and since that time has operated as a multi-asset investment platform that provides investing opportunities for consumers in several different sectors, including real estate, cryptocurrency, and blockchain technologies. Plaintiff wants to be clear at the outset: Plaintiff does not object to Defendant’s past or present use of the trade name or trademark REPUBLIC in connection with the foregoing investment services, as long as they do not overlap with the music industry.

28. Instead, the present dispute began on October 6, 2021, when Defendant announced the launch of Defendant’s “newest vertical” (or new “investment product”) which it calls REPUBLIC and/or REPUBLIC MUSIC. True and correct copies of these communications are attached hereto as **Exhibit E** and **Exhibit F**.

29. According to Defendant, its new REPUBLIC-branded music services allow fans to “invest in the music they love.” Specifically, the services offered under Defendant’s REPUBLIC Marks are a “way for artists to raise capital from their fans through investing and in exchange, the fans receive equity in the rights to the royalties” of an artist’s forthcoming songs.

See **Exhibit E**.

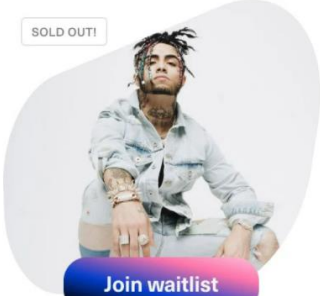
30. Upon information and belief, the new services offered under Defendant’s REPUBLIC Marks operate in partnership with a blockchain platform called Opulous. These services allow fans and other investors to purchase a Security Non-Fungible Token (“S-NFT”)—

i.e., a security interest—in a forthcoming song by an artist. Defendant promises these fans and investors “royalties” each time the song is streamed in the future, as well as access to “exclusive perks” such as “tickets and merch from the artists they back.” Attached hereto as **Exhibit G** is a true and correct copy of Defendant’s new REPUBLIC-branded music webpage on its website setting forth the details of its new service offered under Defendant’s REPUBLIC Marks.

31. In other words, Defendant’s REPUBLIC Marks are used in connection with the full suite of goods and services that record labels typically offer, ranging anywhere from sound recordings, merchandise, event tickets, promotional services, and royalty payment services to literal investments in artists, their music, and their ventures. Indeed, in the “manifesto” accompanying the launch of its new REPUBLIC-branded music services, Defendant boasts that the services are designed to “cut out the middleman”—namely, the record label. According to Defendant, artists can use Defendant’s new services to avoid the kinds of “unfair contracts” and “underhand deals” that some artists have signed in the past with record labels. As an example of an artist who was purportedly able to escape an “unfair contract,” Defendant’s manifesto actually mentions Taylor Swift—an artist who is now signed to Plaintiff’s REPUBLIC label after leaving her prior label in 2018. Attached hereto as **Exhibit H** is a true and correct copy of Defendant’s “manifesto” dated October 7, 2021.

32. Defendant’s website features two musical artists that have, upon information and belief, recorded unreleased, forthcoming songs in which investors can invest through Defendant’s REPUBLIC-branded music services, as shown below:

SOLD OUT




Join waitlist

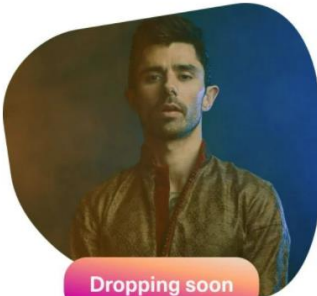
Lil Pump

feat. Soulja Boy

Hip hop

7.8M monthly listeners
5-time platinum artist
1.8B Youtube views

Sourced by  OPULOUS




Dropping soon

KSHMR

Electronic

7.6M monthly streams
Top-100 DJs by DJ Mag (6 years in a row)
250M Youtube views

Sourced by  OPULOUS

33. On October 8, 2021—just two days after the press release announcing Defendant’s launch of its new REPUBLIC-branded music services—Plaintiff sent a cease-and-desist letter to Defendant objecting to the use of Defendant’s REPUBLIC Marks in connection with music-related services and requesting that Defendant operate its new music-related services under a non-REPUBLIC name. A copy of this cease and desist letter is attached hereto as **Exhibit I**. In response to this letter, Defendant stated it was open to pursuing an amicable resolution, initially leading Plaintiff to believe that Defendant would respect Plaintiff’s longstanding rights and cease use of Defendant’s REPUBLIC Marks in connection with its new music-related services. Plaintiff and outside counsel for Defendant engaged in settlement discussions throughout October 2021 in this regard.

34. But recently, Defendant ended settlement discussions and, instead, on November 4, 2021, launched its music services under Defendant’s REPUBLIC Marks in connection with an upcoming song named *Mona Lisa* by multi-platinum recording artists Lil Pump featuring Soulja

Boy, making it abundantly clear that Defendant has no intention of complying with Plaintiff's demands. Defendant hit its maximum fundraising goal of \$500,000 within two hours after investing opened on the *Mona Lisa* song.

35. Customer complaints in the comments section of the *Mona Lisa* investment page reveal that Defendant's launch did not, however, go smoothly and was riddled with complications and poorly-explained instructions. A true and correct copy of the webpage dedicated to Lil Pump from Defendant's website is attached hereto as **Exhibit J**, which evidences some of these consumer complaints. Website shutdowns also plagued Defendant's launch. Defendant's Twitter page includes a re-tweet of @Republic_Help indicating that Defendant's website shutdown on November 3, eight minutes before launch.

36. Defendant's website also gives fans an opportunity to preview upcoming songs, sets forth biographies for each artist, and displays photographs of past collaborators of each artist—even though these past collaborators have nothing to do with the forthcoming songs by the artist in which consumers can invest. Two of the past collaborators shown on Lil Pump's page are artists currently signed to Plaintiff's REPUBLIC label, and at least two more artists are or were signed to other Universal Music Group labels. See **Exhibit J**.

37. Defendant's REPUBLIC Marks are virtually identical and confusingly similar to Plaintiff's REPUBLIC Marks in sight, sound, and commercial meaning.

38. Defendant's music-related services offered under Defendant's REPUBLIC Marks overlap with, are highly related to, and directly compete with the services offered by Plaintiff under Plaintiff's REPUBLIC Marks. Moreover, the music-related services offered by Defendant under Defendant's REPUBLIC Marks are targeted towards the exact same consumers—namely,

artists, record labels, managers, agents, and fans—who purchase or use the goods and services offered by Plaintiff under Plaintiff’s REPUBLIC Marks.

39. Defendant promises royalties to the artists and fans who use Defendant’s REPUBLIC-branded services, which are derived from streaming, downloads, TV broadcasts, radio broadcasts, public performances, and sync. All of these are significant revenue sources for artists signed to Plaintiff’s REPUBLIC label. Defendant also lists Spotify, Apple Music, YouTube, Amazon Music, and TikTok as platforms on which its artists’ music will be exploited. The companies associated with these platforms are among Plaintiff’s most significant commercial partners. *See* **Exhibit J**.

40. Defendant has not obtained consent from Plaintiff or any representative of Plaintiff to use Plaintiff’s REPUBLIC Marks in connection with any music-related services. Defendant is not associated or affiliated with Plaintiff, and Plaintiff has never authorized or otherwise licensed Defendant to use Defendant’s REPUBLIC Marks or any trade names or trademarks confusingly similar to Plaintiff’s REPUBLIC Marks, in connection with music-related goods or services.

41. Upon information and belief, Defendant selected and is using Defendant’s REPUBLIC Marks for its music-related services with actual and constructive knowledge of Plaintiff’s ownership of and exclusive right to use Plaintiff’s REPUBLIC Marks and with the intent to trade off of the significant goodwill symbolized by Plaintiff’s REPUBLIC Marks.

42. Defendant commenced use of Defendant’s REPUBLIC Marks in connection with its music-related services long after Plaintiff commenced use of Plaintiff’s REPUBLIC Marks in the United States, long after Plaintiff secured federal trademark registrations for the REPUBLIC

RECORDS mark, and long after Plaintiff developed substantial goodwill in Plaintiff's REPUBLIC Marks. As such, Plaintiff has priority.

43. Upon information and belief, Defendant's conduct is intentional, willful and wanton.

C. Harm Caused by Defendant's Infringing Conduct

44. In the short time since Defendant began using Defendant's REPUBLIC Marks in connection with music-related services, Plaintiff has become aware of instances of confusion in which members of the public, including sophisticated professionals and trade industry publications, have either (i) mistakenly believed that the music-related services offered by Defendant under Defendant's REPUBLIC Marks originate from or are sponsored by Plaintiff or (ii) acknowledged that such confusion is likely.

45. For example, *Music Business Worldwide* is a trade industry database that collects and catalogs news stories on various artists, labels, and topics in the music industry. *Music Business Worldwide* has a page dedicated to Plaintiff's REPUBLIC label and has a section called "REPUBLIC RECORDS IN THE NEWS" in which it highlights news stories about Plaintiff's REPUBLIC label. However, in and around mid-October 2021, *Music Business Worldwide* mistakenly included two third-party articles published about *Defendant's* services offered under Defendant's REPUBLIC Marks in partnership with Opulous in the "REPUBLIC RECORDS IN THE NEWS" section of the REPUBLIC RECORDS page. In other words, *Music Business Worldwide* mistakenly thought that the articles about *Defendant's* REPUBLIC-branded music services were about or were related to *Plaintiff's* REPUBLIC label. A true and correct copy of the *Music Business Worldwide* webpage dedicated to Plaintiff's REPUBLIC label with improper tagging circled in red is attached hereto as **Exhibit K**.

46. By way of another example, in a tweet dated October 19, 2021, Twitter user @CanaryJulz tweeted four images from Defendant’s website regarding Defendant’s launch of its REPUBLIC-branded music services, and accompanied the tweet with the following statement: “So Producers . . . **Republic Records** is about to launch a platform where you can invest into songs dropping by MAJOR artists & share in the royalties/splits & rights of the songs.. just like you can invest into #crypto & #NFTs ... Thoughts??” (emphasis added). This Twitter user also “tagged” *Plaintiff’s* REPUBLIC RECORDS Twitter account in her post, all as shown below:



Accordingly, this Twitter user—after seeing *Defendant’s* website announcing the launch of its new music services offered under Defendant’s REPUBLIC Marks—was actually confused and incorrectly believed that *Plaintiff* was the entity launching Defendant’s new music-related services. A true and correct copy of the foregoing tweet is attached hereto as **Exhibit L**.

47. By way of another example, on November 11, 2021, an executive with Plaintiff’s REPUBLIC label had a meeting with a company called Nifty Labs to discuss opportunities in the blockchain/cryptocurrency space for the REPUBLIC label and its artists. During that meeting, a Nifty Labs executive complimented the REPUBLIC executive on the label’s “Lil Pump initiative.” In other words, this person mistakenly believed that Defendant’s REPUBLIC-branded music offering—namely, Defendant’s investment opportunity in Lil Pump’s music (*see supra* ¶ 34)—came from or was affiliated with Plaintiff’s REPUBLIC label.

48. By way of another example, *CoinDesk*—an online publication that provides news and information on digital currencies such as bitcoin and its underlying technology—published an article on October 6, 2021 about the launch of Defendant’s services offered under Defendant’s REPUBLIC Marks. The first sentence of the article reads:

Republic – *the financial services company, not the record label* – has announced the launch of ‘Republic Music,’ a loosely defined investment product that claims to offer ‘an entirely new way to create, produce and share royalties from music.’

(emphasis added). A true and correct copy of the aforementioned article is attached hereto as **Exhibit M**. In other words, a sophisticated industry publication recognized the high likelihood that its readers would *mistakenly* think that services offered under Defendant’s REPUBLIC Marks were related to Plaintiff’s REPUBLIC label and, thus, *CoinDesk* felt the need to clarify at the outset that Defendant’s REPUBLIC-branded service was *not* Plaintiff’s REPUBLIC label.

49. By way of another example, *Music Ally*—an online website that provides a variety of services to assist consumers and businesses with learning about and navigating the music industry—published an article about Opolous’s collaboration with Defendant. The article states: “Now [Opolous] is exploring [selling music NFTs] through a partnership with Republic. No, *not the major label imprint (imagine!)*, but a company that runs a startups investment

platform.” (emphasis added). Thus, like the *CoinDesk* example set forth above, this clarifying statement indicates that *Music Ally* believed there to be a high likelihood that its readers would *mistakenly* think that the music-related services offered by Defendant under Defendant’s REPUBLIC Marks originated with or were affiliated with Plaintiff’s REPUBLIC label. A true and correct copy of the aforementioned article is attached hereto as **Exhibit N**.

50. As shown in these examples, as a result of Defendant’s use of Defendant’s REPUBLIC Marks for music-related services, relevant consumers are likely to be confused, deceived, and misled as to the association of the parties and the origin of the parties’ respective goods and services.

51. The goodwill that Plaintiff has built up in Plaintiff’s REPUBLIC Marks is put at risk by Defendant’s appropriation and use of identical and/or confusingly similar marks for identical and/or highly related music services. Defendant’s unauthorized acts unfairly and unlawfully wrest from Plaintiff control over Plaintiff’s REPUBLIC Marks and its reputation, particularly as Plaintiff has no control over the quality of Defendant’s music-related services offered under Defendant’s REPUBLIC Marks. As a result, Plaintiff’s extremely valuable reputation is being irreparably damaged. If Defendant’s conduct is not enjoined, it will continue to injure the value of Plaintiff’s REPUBLIC Marks and the ability of those marks to indicate music-related services emanating from a single source, namely, Plaintiff.

FIRST CLAIM FOR RELIEF
FEDERAL TRADEMARK INFRINGEMENT (15 U.S.C. § 1114(1))

52. Plaintiff repeats the allegations set forth in Paragraphs 1 through 51 as if fully set forth herein.

53. Based on Plaintiff’s prior rights in Plaintiff’s REPUBLIC Marks, the strength and fame of Plaintiff’s REPUBLIC Marks, the similarity of Plaintiff’s REPUBLIC Marks and

Defendant's REPUBLIC Marks, the identity and/or relatedness of the parties' respective goods and services, the overlap in the parties' targeted consumers, and Defendant's bad-faith adoption of Defendant's REPUBLIC Marks for its new music-related services, consumers are likely to be deceived into falsely believing that the music-related services offered by Defendant under Defendant's REPUBLIC Marks originate from or are otherwise associated with or endorsed by Plaintiff, or that there is some relationship between Plaintiff and Defendant or the goods and services of Plaintiff and Defendant, all to Plaintiff's injury and harm.

54. Defendant's continued use of Defendant's REPUBLIC Marks for music-related services constitutes infringement of Plaintiff's federally-registered REPUBLIC Marks and is likely to cause confusion, cause mistake, or to deceive the public into the false belief that the music-related services offered by Defendant under Defendant's REPUBLIC Marks come from or are otherwise sponsored by or connected with Plaintiff in violation of Section 32(1) of the Lanham Act, 15 U.S.C. § 1114(1).

55. Defendant's aforementioned conduct is causing irreparable injury to Plaintiff's goodwill and reputation, and Defendant's continued use of Defendant's REPUBLIC Marks for music-related services will both damage Plaintiff as well as deceive and threaten harm to the public unless the conduct is permanently enjoined by this Court.

56. Plaintiff has no adequate remedy at law.

**SECOND CLAIM FOR RELIEF:
FEDERAL UNFAIR COMPETITION AND FALSE DESIGNATION OF ORIGIN
(15 U.S.C. § 1125(a)(1)(A))**

57. Plaintiff repeats the allegations set forth in Paragraphs 1 through 51 as if fully set forth herein.

58. Defendant's use of Defendant's REPUBLIC Marks in connection with music-related services that are identical and/or highly related to the goods and services offered by Plaintiff under Plaintiff's REPUBLIC Marks constitutes a false designation of origin and a false representation as to the origin of Defendant's services offered under Defendant's REPUBLIC Marks.

59. Defendant's use of Defendant's REPUBLIC Marks in connection with such music-related services is likely to cause confusion, cause mistake, or to deceive the public as to the source of Defendant's services and is likely to create the false impression that those services are approved, authorized, sponsored, endorsed, licensed by, or affiliated with Plaintiff.

60. Defendant's conduct is willful, in bad-faith, and intended to trade on the goodwill and cachet of Plaintiff. Accordingly, Defendant's actions constitute unfair competition and false designation of origin in violation of Sections 43(a)(1)(A) of the Lanham Act, 15 U.S.C. §§ 1125(a)(1)(A).

61. Defendant's aforementioned conduct is causing irreparable injury to Plaintiff's goodwill and reputation, and Defendant's continued conduct will damage Plaintiff as well as deceive and threaten harm to the public unless the conduct is permanently enjoined by this Court.

62. Plaintiff has no adequate remedy at law.

**THIRD CLAIM FOR RELIEF:
TRADEMARK INFRINGEMENT AND
UNFAIR COMPETITION UNDER NEW YORK COMMON LAW**

63. Plaintiff repeats the allegations set forth in Paragraphs 1 through 51 as if fully set forth herein.

64. Defendant's use of Defendant's REPUBLIC Marks in connection with music-related services that are identical and/or highly related to the goods and services offered by

Plaintiff under Plaintiff's REPUBLIC Marks is likely to confuse the public as to the origin, source, or sponsorship of Defendant's services, or to cause mistake or to deceive the public into falsely believing that Defendant's services are authorized, sponsored, endorsed, licensed by, or affiliated with Plaintiff, all in violation of Plaintiff's rights under the common law of the State of New York.

65. As a result of the foregoing conduct, Defendant has been and will continue to be unjustly enriched at Plaintiff's expense.

66. Accordingly, Defendant's actions constitute unfair competition under New York common law.

67. Defendant's aforementioned conduct is causing irreparable injury to Plaintiff's goodwill and reputation, and Defendant's continued conduct will damage Plaintiff as well as deceive and threaten harm to the public unless the conduct is permanently enjoined by this Court.

68. Plaintiff has no adequate remedy at law.

**FOURTH CLAIM FOR RELIEF:
DILUTION UNDER NEW YORK LAW (N.Y. GEN. BUS. LAW § 360-I)**

69. Plaintiff repeats the allegations set forth in Paragraphs 1 through 51 as if fully set forth herein.

70. As a result of extensive use and promotion of Plaintiff's REPUBLIC Marks and the goods and services offered thereunder by Plaintiff, Plaintiff's REPUBLIC Marks have become highly distinctive of Plaintiff's goods and services and are widely recognized amongst the consuming public as a designation of source of Plaintiff's goods and services.

71. Plaintiff's REPUBLIC Marks were distinctive and widely known long before Defendant commenced its unauthorized use of Defendant's REPUBLIC Marks for the music-related services described herein.

72. Defendant's commercial use of Defendant's REPUBLIC Marks for music-related services has diluted and is likely to continue diluting the fame of Plaintiff's REPUBLIC Marks by impairing their distinctiveness and thereby lessening the capacity of Plaintiff's REPUBLIC Marks to identify and distinguish Plaintiff and its goods and services exclusively. Defendant's unlawful use of Defendant's REPUBLIC Marks in connection with its inferior, unproven music-related services has also tarnished, and is likely to continue tarnishing, Plaintiff's REPUBLIC Marks, thereby resulting in injury to the reputation of Plaintiff's business.

73. Accordingly, Defendant's actions constitute dilution in violation of Section 360-1 of the General Business Law of the State of New York.

74. Defendant's aforementioned conduct is causing irreparable injury to Plaintiff's goodwill and reputation, and Defendant's continued conduct will damage Plaintiff as well as deceive and threaten harm to the public unless the conduct is permanently enjoined by this Court.

75. Plaintiff has no adequate remedy at law.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully requests judgment as follows:

A. That Defendant, its employees, agents, licensees, distributors, affiliates, subsidiaries, attorneys, officers, employees, representatives, successors, and assigns, and all persons in active concert or participation with any of them, be preliminarily and permanently enjoined from:

i. using Defendant's REPUBLIC Marks—or any simulation, reproduction, counterfeit, copy, or colorable imitation of Plaintiff's REPUBLIC Marks—in connection with the importation, promotion, advertisement, display, sale, offering for sale, manufacture, production,

circulation, or distribution of any music-related good or service, including the REPUBLIC and/or REPUBLIC MUSIC services discussed herein;

ii. using any false designation of origin, false description or statement, or performing any act that is likely to lead members of the trade or public to believe that any good or service manufactured, offered, distributed, or sold by Defendant is in any manner associated or connected with Plaintiff or is sold, manufactured, licensed, sponsored, approved, or authorized by Plaintiff;

iii. engaging in any activity constituting unfair competition with Plaintiff or constituting an infringement or dilution of Plaintiff's REPUBLIC Marks;

iv. applying to register or registering in the United States Patent and Trademark Office or in any state trademark registry Defendant's REPUBLIC Marks—or any simulation, reproduction, copy, or colorable imitation of Plaintiff's REPUBLIC Marks—in connection with any music-related goods or services;

v. assisting, aiding, or abetting any other person or business entity in engaging in or performing any of the activities referred to in subparagraphs (i) through (iv) above; and

vi. effecting assignments or transfers, forming new entities or associations, or utilizing any other device for the purpose of circumventing or otherwise avoiding the prohibitions set forth in subparagraphs (i) through (iv) above.

B. Directing Defendant to destroy all products, labels, signs, stationery, prints, packages, promotional and marketing materials, advertisements, and other materials currently in its possession or under its control that incorporate, feature, or bear Defendant's REPUBLIC Marks—or any simulation, reproduction, copy, or colorable imitation of Plaintiff's REPUBLIC Marks—in connection with any music-related good or service.

C. Directing such other relief as the Court may deem appropriate to prevent the public from deriving the erroneous impression that any good or service manufactured, imported, advertised, promoted, distributed, displayed, produced, sold, or offered for sale by Defendant is in any manner authorized by or related to Plaintiff in any way.

D. Directing Defendant to file with the Court and serve upon counsel for Plaintiff within thirty days after entry of judgment a report in writing and under oath setting forth in detail the manner and form in which it has complied with the above.

E. Requiring Defendant to disseminate corrective advertisements in a form approved by the Court, acknowledge its violations of the law hereunder, and ameliorate the false and deceptive impressions produced by such violations.

F. Awarding Plaintiff such damages it has sustained or will sustain by reason of Defendant's acts of trademark infringement, dilution, and unfair competition, and that such sums, as applicable, be trebled pursuant to 15 U.S.C. § 1117(a) and (b).

G. Awarding Plaintiff all gains, profits, property, and advantages derived by Defendant from its unlawful conduct described herein.

H. Awarding Plaintiff exemplary and punitive damages to deter any further violations of its rights as the Court finds appropriate.

I. Awarding Plaintiff its costs and expenses incurred in this action, including reasonable attorneys' fees, pursuant to 15 U.S.C. §1117(a).

J. Awarding Plaintiff interest, including pre-judgment interest, on the foregoing sums.

K. Awarding Plaintiff further relief as the Court may deem just and proper.

Dated: New York, New York
November 12, 2021

Respectfully submitted,

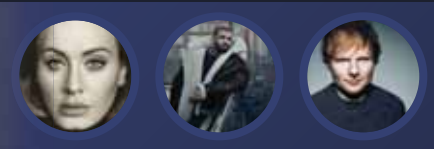
FROSS ZELNICK LEHRMAN & ZISSU, P.C.

By: /s/ David Donahue
David Donahue (*ddonahue@fzlj.com*)
Jason D. Jones (*jjones@fzlj.com*)
Daniel M. Nuzzaci (*dnuzzaci@fzlj.com*)
151 West 42nd Street, 17th Floor
New York, New York 10036
Phone: (212) 813-5900

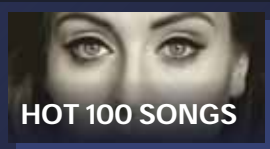
Attorneys for Plaintiff UMG Recordings, Inc.

Exhibit A

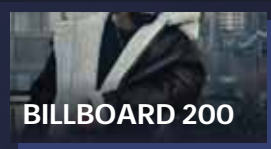
THREE SIXTY



VIEW ALL



HOT 100 SONGS



BILLBOARD 200

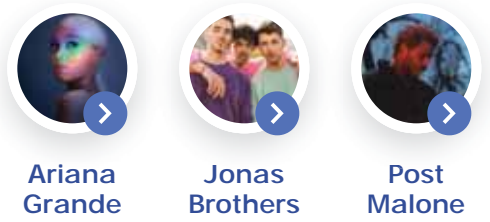
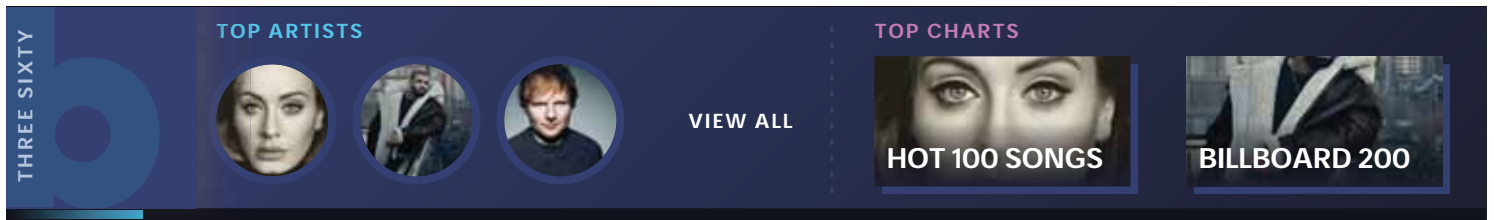
CHART BEAT

The Year in Charts 2019: Republic Records Is Top Label of the Year

By Keith Caulfield

12/7/2019





Ariana Grande Jonas Brothers Post Malone

Republic is also the top Billboard Hot 100 Label, while Atlantic Group takes home Billboard 200 Label honors.

Republic Records has done it again.

For the fourth time in the last five years, Republic Records closes out the year as the top label on *Billboard's* year-end charts. Republic was also tops in 2018, 2016 and 2015. Further, Republic is crowned the top **Billboard Hot 100 label** for a mighty sixth year in a row, and the seventh time in the last eight years.

The dual 2019 wins were powered by the chart performance of songs and albums from Republic Records, including titles by artists that call Republic home, like **Ariana Grande**, **Post Malone**, **Jonas Brothers**, **Drake** and **Taylor Swift**.

The top overall labels of 2019 were determined by blending cumulative performance on the Billboard 200 albums chart and the Hot 100 songs chart through the 2019 eligibility year (charts dated Nov. 24, 2018 through Nov. 16, 2019). Data registered before or after a title's chart run are not considered in these rankings.

[Explore All of Billboard's 2019 Year-End Charts](#)



The banner is divided into two main sections: 'TOP ARTISTS' and 'TOP CHARTS'. On the left, under 'TOP ARTISTS', there are three circular portraits of Ariana Grande, Post Malone, and Taylor Swift, with a 'VIEW ALL' link to the right. On the right, under 'TOP CHARTS', there are two rectangular images: 'HOT 100 SONGS' featuring a close-up of a woman's eyes, and 'BILLBOARD 200' featuring a man in a suit. On the far left, there is a vertical logo for 'THREE SIXTY' and a large blue number '6'.

are the top male, female and duo/group artist in the same year since *Billboard* began compiling overall top artists in 1977 (based on combined performance on the Billboard 200 and Hot 100 charts).

Republic is Billboard's top label of 2019 thanks to the chart performance of such albums as: Ariana Grande's *Thank U, Next*, Taylor Swift's *Lover*, Post Malone's *beerbongs & bentleys*, Drake's *Scorpion* (released via Young Money/Cash Money/Republic Records) and Post Malone's *Hollywood's Bleeding*. All five of those albums appear in the top 10 of the year-end Billboard 200 chart.



The Year in Charts 2019: Post Malone Crowned Billboard's Top Artist of the Year

Hot Hot Hot on the Hot 100: Republic reaped success on the weekly Hot 100 tally during the chart year with such smashes as Post Malone and Swae Lee's "Sunflower (Spider-Man: Into the Spider-Verse)," Post Malone's "Wow.," Ariana Grande's "7 Rings," Jonas Brothers' "Sucker," and Grande's "Thank U, Next."

During the chart year, there were a total of 14 No. 1s on the Hot 100, and Republic held five of them, the most of any label. Their No. 1s: "7 Rings," "Sucker," "Sunflower," "Thank U, Next" and the Shawn Mendes and Camila Cabello duet "Señorita," which was shared between Republic Records and Epic Records. In total, Republic's No. 1s accounted for 17 weeks at No.



billboard

SUBSCRIBE



Republic Records' Wendy Goldstein on Historic Chart Wins For Jonas Brothers, Ariana Grande


Atlantic Group Takes Home the Billboard 200 Label GoldAtlantic Group rounds out 2019 as the [Top Billboard 200 Label](#) It's a feat Atlantic has achieved in four of the past five years, as Atlantic was also tops in 2018, 2017 and 2015.

Atlantic placed a whopping 85 titles on the Billboard 200 during the 2019 chart year -- the most of any label. In total, Atlantic accounted for 9% of the 947 titles that reached the chart in the chart year.

Among Atlantic's most successful albums on the chart during the 2019 chart year [Meek Mill's Championships](#)(released through Maybach/Atlantic/Atlantic Group), [A Boogie Wit da Hoodie's Hoodie SZN](#)(Highbridge The Label/Atlantic/AG),[The Greatest Showman](#)soundtrack (Fox/20th Century Fox/Atlantic/AG),[Cardi B's Invasion of Privacy](#)(The KSR Group/Atlantic/AG),[Lizzo's Cuz I Love You](#)(Nice Life/Atlantic/AG),[Ed Sheeran's Divide](#) (Atlantic/AG) and [No.6 Collaborations Project](#)(Atlantic/AG).

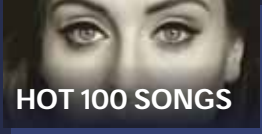
THREE SIXTY

TOP ARTISTS




VIEW ALL

TOP CHARTS



HOT 100 SONGS



BILLBOARD 200



VIDEOS

Ice Cube Departs Sony Comedy 'Oh Hell No' After Declining COVID-19 Vaccine | Billboard News

5 days ago



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Exhibit B

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THREE SIXTY

TOP ARTISTS

TOP CHARTS

HOT 100 SONGS BILLBOARD 200

TOP VIDEOS

BTS Performing With Megan Thee Stallion at 2021 American Music Awards

Lawsuit Filed Against Travis Scott & Live Nation Over 'Predictable'...

VIEW ALL

TOP ARTICLES

AWARDS

Republic Records Wins 2021 Clio Music Award for The Weeknd's 'After Hours' Album Campaign

By Paul Grein
6/14/2021



Micalah Carter
The Weeknd photographed on December 11, 2020 in Los Angeles.

Sony Music Publishing took music publisher of the year. Spotify In-House won agency of the year.

Republic Records won a Clio Music Award on Thursday for best integrated campaign for its album campaign for *The Weeknd's After Hours*. Republic also won label of the year at the inaugural Clio Music of the Year Awards.

Clio Music Awards recognize excellence in creative work for the marketing or promotion of an artist, label, music brand, product or service, as well as the use of music in advertising. Five Clio juries reviewed submissions across 26 mediums and awarded 10 Grand Clio Music trophies as well as an array of gold, silver and bronze statues for a total of 240 awards.



Inaugural Clio Music Awards to Honor Achievements in Marketing and Advertising

This year, for the first time, they also presented Clio Music of the Year Awards. Winners were those scoring the most "statue points" for entries submitted across all medium types. In addition to Republic Records' award, Sony Music Publishing took music publisher of the year and Spotify In-House took agency of the year.

"This year our juries evaluated esteemed work that really met the moment during a time of uncertainty and change," Clio president Nicole Purcell said in a statement. "We received impressive submissions from all around the world, many of which put a spotlight on the power of music to connect, inspire and soothe us – even during difficult times."

Michael Kauffman, director of Clio Music, added: "The Clio Music Awards were launched to recognize excellence and the kind of rich work that leaves its mark on the industry for years to come."



Clio Music Director Michael Kauffman Talks #Clio60, The 'Visceral Power' of Music

ARTISTS MENTIONED



Brandi Carlile



The Weeknd

Brandi Carlile and the National Independent Venue Association (NIVA) were recipients of 2021 Clio Music Impact Awards. Citi chief marketing officer Carla Hassan presented Carlile with her award. Senate Majority Leader Chuck Schumer (D-N.Y.) presented NIVA with its award.

Founded in 1959 to celebrate creative excellence in advertising, Clio today honors work and talent in a variety of specialized fields including sports, fashion, music, entertainment and health. Clio celebrates creativity via its global ad database Ads of the World and its content platform Muse by Clio.

Clio Music celebrates the power of music to connect consumers and brands around the world. The program was introduced in 2014.

Winners were revealed during a virtual ceremony on Clios.com.



Brandi Carlile & National Independent Venue Association Win Clio Music Impact Awards

Here are the winners of the 2020/21 Grand Clio Music Awards:

Design: "The Decade Wrapped" by Spotify In-House for Spotify

Experience/Activation: "Astronomical" by Epic Games for Epic Games/Fortnite

Film/Video: "You're Born" by Blacklist Mgmt for Aigel Band

Integrated campaign: "The Weeknd After Hours Album Campaign" for Republic Records by XO/Republic Records

Social good: "Spinifex Gum - Dream Baby Dream" by SDWM for Spinifex Gum

Social media: "Lottery (Renegade) - K CAMP" by RARE Sound for K Camp

Use of music in audio: "2% Choir" by TBWA\Chiat\Day LA for The Recording Academy

Use of music in film/video: "Life Needs Truth" by Droga5 for The New York Times

Use of music in innovation: "The Refugee Tree" by DaHouse Audio for Climate Reality Brasil

Use of music in trailers/teasers: "The Refugee Tree" by DaHouse Audio for Climate Reality Brasil

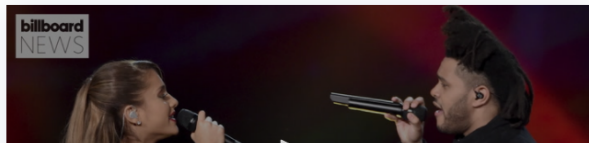
The 2020/21 Clio Music of the year winners are:

Label of the year: Republic Records

Music publisher of the year: Sony Music Publishing

Agency of the year: Spotify In-House

A full list of winners can be viewed [here](#).



By Paul Grein
6/14/2021

WATCH NOW

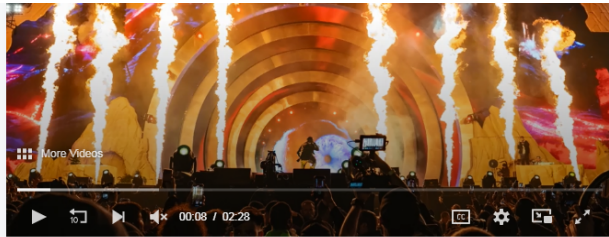


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Exhibit C



HOME > MUSIC > MUSIC NEWS

NOVEMBER 19, 2018 10:44AM ET

Taylor Swift Signs With Republic Records and UMG, Her First New Home in 13 Years

Swift had been signed to Nashville-based indie Big Machine since she was 15

By **BRITTANY SPANOS**



Following the expiration of her contract with Big Machine, Taylor Swift has announced that she will sign a joint deal with Republic and UMG.

Frank Micelotta/PictureGroup/Shutterstock

Taylor Swift has announced that she has signed a new record deal with Republic Records and Universal Music Group, her first label change since signing with Big Machine Records at the age of 15. Swift's deal with the Nashville-based, Universal Music Group-distributed independent label expired this month.

UMG Chairman/CEO Sir Lucian Grainge and Republic Records co-founder and CEO Monte Lipman at the lead of a set. "Over the years, Sir Lucian Grainge and Monte Lipman have been such incredible partners," she wrote, noting that she is still part of Universal Music Group. "It's so thrilling to me that they, and the UMG team, will be my label family moving forward." Terms of the deal were not immediately announced.

SEE ALSO

- ▶ **10 Years Later, Taylor Swift's 'Fearless' Still Slaps**
- ▶ **AMAs 2018: Watch Taylor Swift Kick Off Show With 'I Did So...**

Swift unveiled two important elements of the new deal: the first of which will be ownership of her masters going forward. Big Machine has ownership of her first six albums, and there is no further details on whether or not that element of the contract had been negotiated following her exit.

She also revealed that as part of her UMG deal, she has asked the company to ensure that any sale of UMG's shares in music-streaming company Spotify — which went public earlier on the New York Stock Exchange this year — result in equity for UMG's artists. In years previous, Swift has been a vocal champion of artists' rights, engaging in a public war with Apple Music over artist payouts when that service first launched. "I asked that any sale of their Spotify shares result in a distribution of money to their artist, non-recoupable," she wrote. "They have generously agreed to this, at what they believe will be much better terms than paid out previously by other major labels."

She ended her note with a "heartfelt thanks" to Big Machine's Scott Borchetta, who had signed her as a teenager. "I'm extremely grateful to get to do what I love, especially with the people I've been fortunate enough to work with."

This month marked the first time in 13 years that Swift has been a free agent. She had become the biggest artist on the country-focused label, which also features Rascal Flatts and Reba McEntire.

intensifying industry speculation about her future after her contract was up, rumors included a direct distribution deal with Spotify as well as various types of deals with all the major labels. By the end of October, industry sources said the bidding for a potential sale of the whole Big Machine company had risen to well over \$300 million, with Universal the only major record company left in the race.

Swift released six albums with Big Machine and has sold over 32 million album domestically and 40 worldwide. In its first week alone, 2017's *Reputation* sold 2 million copies worldwide. While on tour this year in support of *Reputation*, each show has grossed upwards of \$9 million. She has two more shows left on the tour, both to take place in Tokyo this week.

Read Taylor Swift's full letter below:

I'm ecstatic to announce that my musical home will be Republic Records and Universal Music Group. Over the years, Sir Lucian Grainge and Monte Lipman have been such incredible partners. It's so thrilling to me that they, and the UMG team, will be my label family moving forward. It's also incredibly exciting to know that I own all of my master recordings that I make from now on. It's really important to me to see eye to eye with a label regarding the future of our industry. I feel so motivated by new opportunities created by the streaming world and the ever-changing landscape of our industry... I also feel strongly that streaming was founded on and continues to thrive based on the magic created by artists, writers, and producers.


There was one condition that meant more to me than any other deal point. As part of my new contract with Universal Music Group, I asked that any sale of their Spotify shares result in a distribution of money to their artist, non-recoupable. They have generously agreed to this, at what they believe will be much better terms and paid out previously by other major labels. I see this is a sign that we are headed toward positive change for creators – a goal I'm never going to stop trying to help achieve, in whatever ways I can. I'm so happy to have Sir Lucian Grainge as a partner in these efforts.

I want to express my heartfelt thanks to Scott Borchetta for believing in me as a 14-year-old and for guiding me through over a decade of work that I will always be so

Subscribe

the dedication, trust, and loyalty of the fans who have cared about the words and melodies I've written. My biggest goal moving forward is to make you proud. I'm so excited. I can't wait to show you what I'm making next.

Love, Taylor



taylorswift

183M followers

View Profile

1,442,268 likes

taylorswift

My new home 🏠

Add a comment...

Taylor Swift's Country Songs

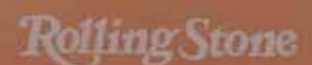


Exhibit D

Generated on: This page was generated by TSDR on 2021-11-03 12:34:54 EDT

Mark: REPUBLIC RECORDS

REPUBLIC RECORDS

US Serial Number: 87562244

Application Filing Date: Aug. 09, 2017

US Registration Number: 5417675

Registration Date: Mar. 06, 2018

Filed as TEAS Plus: Yes

Currently TEAS Plus: Yes

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Mar. 06, 2018

Publication Date: Dec. 19, 2017

Mark Information

Mark Literal Elements: REPUBLIC RECORDS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "RECORDS"

Related Properties Information

Claimed Ownership of US Registrations: 5073418, 5073419

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Musical sound recordings; Downloadable musical sound recordings

International Class(es): 009 - Primary Class

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(a)

First Use: Nov. 1995

Use in Commerce: Nov. 1995

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: UMG Recordings, Inc.

Owner Address: 2220 Colorado Avenue
Santa Monica, CALIFORNIA UNITED STATES 90404

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Brent LaBarge

Attorney Primary Email Address: brent.labarge@umusic.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: BRENT LABARGE
UNIVERSAL MUSIC GROUP
2220 COLORADO AVENUE
SANTA MONICA, CALIFORNIA UNITED STATES 90404

Phone: 310-865-1708

Fax: 310-865-1791

Correspondent e-mail: brent.labarge@umusic.com nicole.villacorta@umusic.com
sic.com.trademarks@umusic.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 06, 2018	REGISTERED-PRINCIPAL REGISTER	
Dec. 19, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 19, 2017	PUBLISHED FOR OPPOSITION	
Nov. 29, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Nov. 11, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 11, 2017	ASSIGNED TO EXAMINER	76611
Aug. 15, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 12, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Mar. 06, 2018

Generated on: This page was generated by TSDR on 2021-11-03 12:35:14 EDT

Mark: REPUBLIC RECORDS

REPUBLIC RECORDS

US Serial Number: 87562256

Application Filing Date: Aug. 09, 2017

US Registration Number: 5417677

Registration Date: Mar. 06, 2018

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Mar. 06, 2018

Publication Date: Dec. 19, 2017

Mark Information

Mark Literal Elements: REPUBLIC RECORDS

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Disclaimer: "RECORDS"

Related Properties Information

Claimed Ownership of US Registrations: 5073418, 5073419

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Production and publishing of music; providing online entertainment, namely, providing non-downloadable musical sound recordings; audio and sound recording and production; record production; entertainment in the nature of live concerts and performances by musical artists and groups; entertainment services, namely, providing on-line reviews of music and musical artists; entertainment services, namely, providing information in the field of music, and commentary and articles about music, all on-line via a global computer network; conducting entertainment exhibitions in the nature of music festivals

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Nov. 1995

Use in Commerce: Nov. 1995

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: UMG Recordings, Inc.

Owner Address: 2220 Colorado Avenue
Santa Monica, CALIFORNIA UNITED STATES 90404

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Brent LaBarge

Attorney Primary Email Address: brent.labarge@umusic.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: BRENT LABARGE
UNIVERSAL MUSIC GROUP
2220 COLORADO AVENUE
SANTA MONICA, CALIFORNIA UNITED STATES 90404

Phone: 310-865-1708

Fax: 310-865-1791

Correspondent e-mail: brent.labarge@umusic.com nicole.villacorta@umu.com
sic.com.trademarks@umusic.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Nov. 11, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 11, 2017	ASSIGNED TO EXAMINER	76611
Aug. 15, 2017	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 12, 2017	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Mar. 06, 2018

Generated on: This page was generated by TSDR on 2021-11-08 18:09:15 EST

Mark: REPUBLIC RECORDS



US Serial Number: 87058286

Application Filing Date: Jun. 02, 2016

US Registration Number: 5073418

Registration Date: Nov. 01, 2016

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Nov. 01, 2016

Publication Date: Aug. 16, 2016

Mark Information

Mark Literal Elements: REPUBLIC RECORDS

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of the wording "REPUBLIC RECORDS" incorporated in a stylized flag design.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Disclaimer: "RECORDS"

Design Search Code(s): 24.09.01 - Flags, rectangular or square, excluding American flag or checkered flag
 26.17.01 - Lines, straight; Bars, straight; Straight line(s), band(s) or bar(s); Bands, straight
 26.17.05 - Lines, horizontal; Horizontal line(s), band(s) or bar(s); Bars, horizontal; Bands, horizontal

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Musical sound recordings; downloadable musical sound recordings

International Class(es): 009 - Primary Class

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 2012

Use in Commerce: Oct. 2012

Used Anywhere in Another Form: The mark was first used anywhere in a different form other than that sought to be registered at least as early as 11/00/1995

Used in Commerce in Another Form: The mark was first used in commerce in a different form other than that sought to be registered at least as early as 11/00/1995

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Filed ITU: No **Currently ITU:** No
Filed 44D: No **Currently 44E:** No
Filed 44E: No **Currently 66A:** No
Filed 66A: No **Currently No Basis:** No
Filed No Basis: No

Current Owner(s) Information

Owner Name: UMG Recordings, Inc.
Owner Address: 2220 Colorado Avenue
 Santa Monica, CALIFORNIA UNITED STATES 90404
Legal Entity Type: CORPORATION **State or Country** DELAWARE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Brent LaBarge **Docket Number:** US1A0097
Attorney Primary brent.labarge@umusic.com **Attorney Email** Yes
Email Address: **Authorized:**

Correspondent

Correspondent Name/Address: Brent LaBarge
 UNIVERSAL MUSIC GROUP
 2220 COLORADO AVENUE
 SANTA MONICA, CALIFORNIA UNITED STATES 90404
Phone: 310-865-1708 **Fax:** 310-865-1791
Correspondent e-mail: brent.labarge@umusic.com nicole.blatchford@umusic.com usic.com/trademarks@umusic.com **Correspondent e-mail Authorized:** Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Nov. 05, 2021	TEAS SECTION 8 & 15 RECEIVED	
Nov. 01, 2021	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Nov. 01, 2016	REGISTERED-PRINCIPAL REGISTER	
Aug. 16, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Aug. 16, 2016	PUBLISHED FOR OPPOSITION	
Jul. 27, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jul. 11, 2016	LAW OFFICE PUBLICATION REVIEW COMPLETED	70629
Jul. 01, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 29, 2016	EXAMINER'S AMENDMENT ENTERED	70629
Jun. 29, 2016	ASSIGNED TO LIE	70629
Jun. 29, 2016	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 29, 2016	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 29, 2016	EXAMINERS AMENDMENT -WRITTEN	81856
Jun. 24, 2016	ASSIGNED TO EXAMINER	81856
Jun. 11, 2016	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Jun. 10, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 06, 2016	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Jun. 06, 2016	TEAS VOLUNTARY AMENDMENT RECEIVED	
Jun. 06, 2016	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None
File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Nov. 01, 2016

Generated on: This page was generated by TSDR on 2021-11-08 18:09:42 EST

Mark: REPUBLIC RECORDS



US Serial Number: 87058299

Application Filing Date: Jun. 02, 2016

US Registration Number: 5073419

Registration Date: Nov. 01, 2016

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Nov. 01, 2016

Publication Date: Aug. 16, 2016

Mark Information

Mark Literal Elements: REPUBLIC RECORDS

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of the wording "REPUBLIC RECORDS" incorporated in a stylized flag design.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Disclaimer: "RECORDS"

Design Search Code(s): 24.09.01 - Flags, rectangular or square, excluding American flag or checkered flag
 26.17.01 - Lines, straight; Bars, straight; Straight line(s), band(s) or bar(s); Bands, straight
 26.17.05 - Lines, horizontal; Horizontal line(s), band(s) or bar(s); Bars, horizontal; Bands, horizontal

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Production and publishing of music; providing online entertainment, namely, providing non-downloadable musical sound recordings; audio and sound recording and production; record production; entertainment in the nature of live concerts and performances by musical artists and groups; entertainment services, namely, providing on-line reviews of music and musical artists; entertainment services, namely, providing information in the field of music, and commentary and articles about music, all on-line via a global computer network; conducting entertainment exhibitions in the nature of music festivals

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 2012

Use in Commerce: Oct. 2012

Used Anywhere in Another Form: The mark was first used anywhere in a different form other than that sought to be registered at least as early as 11/00/1995

Used in Commerce in Another Form: The mark was first used in commerce in a different form other than that sought to be registered at least as early as 11/00/1995

Basis Information (Case Level)

Filed Use: Yes	Currently Use: Yes
Filed ITU: No	Currently ITU: No
Filed 44D: No	Currently 44E: No
Filed 44E: No	Currently 66A: No
Filed 66A: No	Currently No Basis: No
Filed No Basis: No	

Current Owner(s) Information

Owner Name: UMG Recordings, Inc.

Owner Address: 2220 Colorado Avenue
Santa Monica, CALIFORNIA UNITED STATES 90404

Legal Entity Type: CORPORATION **State or Country Where Organized:** DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Brent LaBarge	Docket Number: US1A0097
Attorney Primary Email Address: brent.labarge@umusic.com	Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Brent LaBarge UNIVERSAL MUSIC GROUP 2220 COLORADO AVENUE SANTA MONICA, CALIFORNIA UNITED STATES 90404	Phone: 310-865-1708 Fax: 310-865-1791
Correspondent e-mail: brent.labarge@umusic.com nicole.blatchford@umusic.com usic.com.trademarks@umusic.com	Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Nov. 03, 2021	TEAS SECTION 8 & 15 RECEIVED	
Nov. 01, 2021	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Nov. 01, 2016	REGISTERED-PRINCIPAL REGISTER	
Aug. 16, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Aug. 16, 2016	PUBLISHED FOR OPPOSITION	
Jul. 27, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jul. 11, 2016	LAW OFFICE PUBLICATION REVIEW COMPLETED	70629
Jul. 01, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 29, 2016	EXAMINER'S AMENDMENT ENTERED	70629
Jun. 29, 2016	ASSIGNED TO LIE	70629
Jun. 29, 2016	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 29, 2016	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 29, 2016	EXAMINERS AMENDMENT -WRITTEN	81856
Jun. 24, 2016	ASSIGNED TO EXAMINER	81856
Jun. 11, 2016	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Jun. 10, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 06, 2016	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Jun. 06, 2016	TEAS VOLUNTARY AMENDMENT RECEIVED	
Jun. 06, 2016	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Nov. 01, 2016

Generated on: This page was generated by TSDR on 2021-11-03 12:35:36 EDT

Mark: REPUBLIC RECORDS



US Serial Number: 87088394

Application Filing Date: Jun. 29, 2016

US Registration Number: 5822138

Registration Date: Jul. 30, 2019

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Jul. 30, 2019

Publication Date: Nov. 29, 2016

Notice of Allowance Date: Jan. 24, 2017

Mark Information

Mark Literal Elements: REPUBLIC RECORDS

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of the wording "REPUBLIC RECORDS" incorporated in a stylized flag design.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Design Search Code(s): 24.09.01 - Flags, rectangular or square, excluding American flag or checkered flag
26.17.01 - Lines, straight; Bars, straight; Straight line(s), band(s) or bar(s); Bands, straight
26.17.05 - Lines, horizontal; Horizontal line(s), band(s) or bar(s); Bars, horizontal; Bands, horizontal

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Clothing, namely, jackets, shirts, sweat jackets, sweat shirts, sweaters, tops, t-shirts; headwear, namely, caps, and hats

International Class(es): 025 - Primary Class

U.S Class(es): 022, 039

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 2012

Use in Commerce: Oct. 2012

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Filed ITU: Yes

Currently ITU: No

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Filed 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: UMG Recordings, Inc.

Owner Address: 2220 Colorado Avenue
Santa Monica, CALIFORNIA UNITED STATES 90404

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Brent LaBarge

Attorney Primary Email Address: brent.labarge@umusic.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: BRENT LABARGE
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2220 COLORADO AVE
SANTA MONICA, CALIFORNIA UNITED STATES 90404

Phone: 310-856-1708

Fax: 310-865-1791

Correspondent e-mail: brent.labarge@umusic.com nicole.villacorta@umusic.com
sic.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jul. 30, 2019	REGISTERED-PRINCIPAL REGISTER	
Jun. 28, 2019	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Jun. 28, 2019	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Jun. 27, 2019	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Jun. 27, 2019	STATEMENT OF USE PROCESSING COMPLETE	66230
Jun. 24, 2019	USE AMENDMENT FILED	66230
Jun. 27, 2019	EXTENSION 5 GRANTED	66230
Jun. 24, 2019	EXTENSION 5 FILED	66230
Jun. 27, 2019	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
Jun. 24, 2019	TEAS EXTENSION RECEIVED	
Jun. 24, 2019	TEAS STATEMENT OF USE RECEIVED	
Dec. 15, 2018	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Dec. 13, 2018	EXTENSION 4 GRANTED	98765
Dec. 13, 2018	EXTENSION 4 FILED	98765
Dec. 13, 2018	TEAS EXTENSION RECEIVED	
Jul. 11, 2018	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Jul. 09, 2018	EXTENSION 3 GRANTED	98765
Jul. 09, 2018	EXTENSION 3 FILED	98765
Jul. 09, 2018	TEAS EXTENSION RECEIVED	
Jan. 09, 2018	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Jan. 05, 2018	EXTENSION 2 GRANTED	98765
Jan. 05, 2018	EXTENSION 2 FILED	98765
Jan. 05, 2018	TEAS EXTENSION RECEIVED	
Jun. 28, 2017	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Jun. 26, 2017	EXTENSION 1 GRANTED	98765
Jun. 26, 2017	EXTENSION 1 FILED	98765

Jun. 26, 2017	TEAS EXTENSION RECEIVED	
Jan. 24, 2017	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Nov. 29, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Nov. 29, 2016	PUBLISHED FOR OPPOSITION	
Nov. 09, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Nov. 01, 2016	ASSIGNED TO EXAMINER	83187
Oct. 22, 2016	LAW OFFICE PUBLICATION REVIEW COMPLETED	76568
Oct. 19, 2016	ASSIGNED TO LIE	76568
Oct. 07, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 22, 2016	EXAMINER'S AMENDMENT ENTERED	88888
Sep. 22, 2016	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Sep. 22, 2016	EXAMINERS AMENDMENT E-MAILED	6328
Sep. 22, 2016	EXAMINERS AMENDMENT -WRITTEN	82110
Sep. 16, 2016	ASSIGNED TO EXAMINER	82110
Jul. 06, 2016	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Jul. 05, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 02, 2016	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

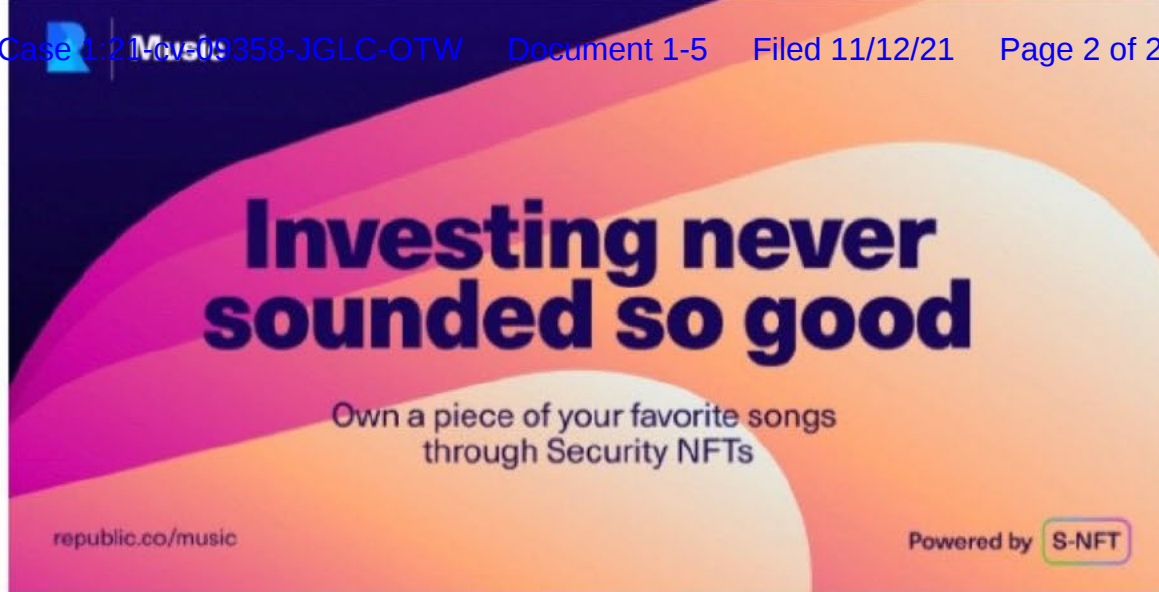
TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jun. 27, 2019

Exhibit E



Hi

Investing never sounded so good. Today, Republic is proud to announce our newest vertical: **Republic Music**.

Republic Music is a way for artists to raise capital from their fans through investing and in exchange, the fans receive equity in the rights to the royalties.

We're introducing a new way to invest through **Security NFTs**.

Security NFTs (S-NFTs) combine the ownership and transparency of NFTs with an innovative investing framework. Republic is one of the first crowdfunding platforms to offer this type of investment. To learn more about S-NFTs, visit our music page.

[Show me the music »](#)

The artists

For the first time ever, fans from all backgrounds will be able to invest in the music they love for as little as \$100 and share in the financial upside in the song's success. Here are the artists slated to go first:

The first artist planned to go live is rap royalty **Lil Pump**. He'll be offering fans and investors the opportunity to own a piece of his forthcoming single "**Mona Lisa**" (feat. Soulja Boy), produced by Jimmy

Exhibit F

Republic Is Bringing Music Investing to the Masses with Artists Lil Pump + KSHMR through Security NFTs with Opulous

The new music industry is here--where fans share the royalties and artists control their music

NEWS PROVIDED BY

[Republic](#) →

Oct 06, 2021, 08:00 ET

NEW YORK, Oct. 6, 2021 /PRNewswire/ -- [Republic](#), a leading investment platform, announced today that they will begin hosting opportunities to invest in music royalties through their latest launch, [Republic Music](#). The first artists slated to pioneer the movement are **rap royalty Lil Pump and powerhouse DJ and producer KSHMR**. These artists are brought to Republic's ecosystem by Opulous, a blockchain platform from the founders of award-winning distribution and record label services company Ditto Music.

Republic will be the first investment platform to bring music investing to the masses through a combination of blockchain technology and a unique investing framework, through the offering of Security NFTs (S-NFTs).

Republic and Opulous believe the music industry needs to change its tune. In a \$43B+ global music market, only 12% of what's generated annually goes back to the artists who drive the industry. Historically, the contracts are unfavorable to the artists, they lose rights to their own creations, and the fans are only engaged to stream songs and buy concert tickets. This movement is changing that. Republic is creating a new ecosystem that gives artists back control and fans a way to participate in the success. **Investing in music is here.**

For the first time, fans from all backgrounds can invest in the music they love for as little as \$100 and share in the rights to royalties. Artists can raise production funds directly from their fans, while cultivating a community of enthusiasts who are incentivized to stream and engage with the music.

As the first major player to introduce this new asset class, Republic has pioneered a novel framework combining blockchain technology and securities innovation, introducing S-NFTs.

NFTs offered as a security instrument creates a new landscape of opportunities for creators of all kinds. Fans are turned into investors and are rewarded for their support. S-NFTs are designed to provide efficiency in distributing investors' share of royalties directly into their digital wallets. We hope S-NFTs will be the key to more than just royalties--artists can offer exclusive tickets, merch, events, additional non-security NFT drops and more to their investor community.

"We're entering a new age of investing. One that turns fans into owners," says Piyal Aditya, Republic Chief Strategy Officer. "As the first major platform to bring music investing to the masses, we are ready to usher in an entirely new way to create, produce, and share royalties from music leveraging our innovative S-NFT offerings. In this new world order, the early supporters are rewarded, the artists are paid, and the community grows stronger."

As part of the first wave of planned releases, Lil Pump is offering fans and investors the opportunity to be part of his forthcoming single "Mona Lisa (feat. Soulja Boy)", produced by Jimmy Duval. "Mona Lisa" brings together two of this generation's most influential rap superstars in one track.

The second planned release is a forthcoming single from EDM titan KSHMR. Known for his chart-topping hits like "Secrets" and "Burn", KSHMR has worked with legendary artists Tiesto and Vassy and performed at Coachella, Tomorrowland, and EDC.

KSHMR said, "Taking pride in my music and creativity is something that has always been important to me. So I'm excited to team up with Opulous to offer my fans the opportunity to be part of my music and to share in my journey. This new offering will radically change how artists engage with their fans, creating a new era for fan experiences."

Republic Music deals are dropping throughout October, with more artists set to be announced throughout the month. Fans, investors, and artists can learn more at republic.co/music.

About Republic:

[Republic](#) is a multi-asset investment platform open to all investors. Republic's ecosystem has deployed over \$500 million in investments in 500+ companies across one million users in 100 countries. Republic is backed by both strategic capital partners and traditional venture capital firms including Galaxy Digital, Binance and Passport Capital. Founded in 2016, Republic is based in New York City. For additional information, visit [Website - http://republic.co](http://republic.co) or [@JoinRepublic](https://twitter.com/JoinRepublic) on Twitter.

"S-NFT" or "Security-Non-Fungible Token" is a new term, developed by Republic to describe a non-fungible digital asset offered and sold as a "security", as defined by the Securities Act of 1933 and the Exchange Act of 1934 (the "Securities Acts"). Blockchain technology is a rapidly expanding and changing area that is effected by the Securities Acts as well as state, federal and international law. Republic intends for offerings of S-NFTs to be conducted pursuant to exemptions from registration under the Securities Acts as facilitated by members of the Republic ecosystem. S-NFTs are a work in progress and therefore Republic and each Issuer of S-NFTs reserve the right to re-characterize the digital asset. Please review the terms, conditions and offering disclosures regarding an offering of S-NFTs for a full description of their characteristics, including but not limited to economic rights, fungibility, purchase and trading restrictions and the underlying technology of each S-NFT offered. Investments in private securities are inherently risky, illiquid and subject to a total loss of capital.

Pialy Aditya is an employee of OpenDeal Inc. dba Republic, she is neither an associated person of OpenDeal Portal LLC nor a registered representative of OpenDeal Broker LLC. With regard to communications by an issuer to gauge interest in a potential securities offering pursuant to the Regulation CF exemption from the registration requirements of the Securities Act, including opportunities to "reserve" securities as indications of interest in the potential offering, please note that pursuant to Regulation Crowdfunding Rule 206 (i) that no money or other consideration is being solicited thereby, and if sent in response, will not be accepted, (ii) no offer to buy the securities can be accepted and no part of the purchase price can be received until the offering statement is filed and only through a registered intermediary's platform, (iii) any such offer may be withdrawn or revoked, without obligation or commitment of any kind, at any time before notice of its acceptance is given after the Form C is filed, and (iv) an indication of interest is non-binding and involves no obligation or commitment of any kind. Crowdfunding securities investments are inherently risky and illiquid and may result in a total loss.

Press Inquiries:

Jenna Cuarneri
JMG Public Relations
917-575-7526
jenna@mgpr.com

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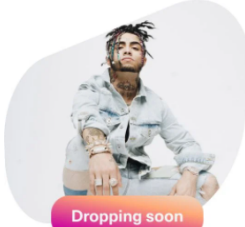
Investing never sounded so good

Invest in the music you love and share in the returns

Powered by Artists curated by

[Get notified](#)

Like any investment, music investing is risky, and returns are not guaranteed. Don't invest more than you can afford to lose. [Learn more](#)



Dropping soon

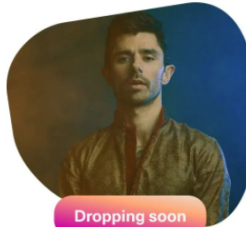
Lil Pump

feat. Soulja Boy

Hip hop

- 7.8M monthly listeners
- 5-time platinum artist
- 1.8B Youtube views

Sourced by



Dropping soon

KSHMR


Electronic

- 7.6M monthly streams
- Top-100 DJs by DJ Mag (6 years in a row)
- 250M Youtube views

Sourced by


Turn your playlist into your portfolio

You can listen to your investments on repeat and share your portfolio playlist with friends. Plus, you'll get access to exclusive investor-only perks and connect directly with the artists.




Get paid from royalties

Invest in your favorite artists' songs and earn returns from streaming royalties, remixes and more.



Investor-only exclusive perks

Get access to tickets, merch, special events and more from the artists you back.



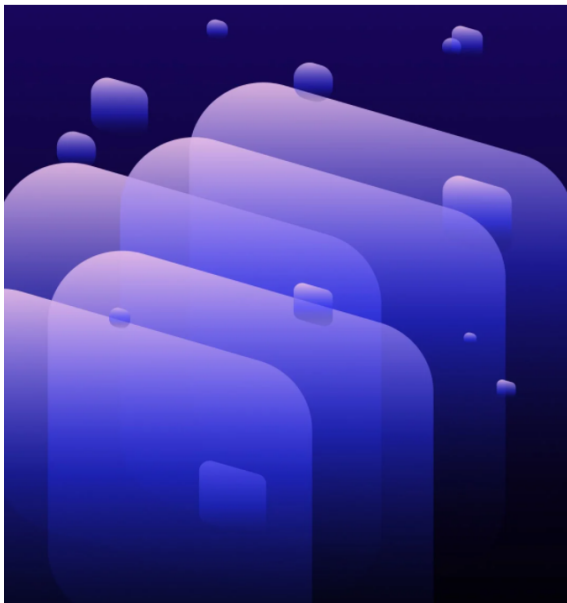
Directly fund artists

Fix the industry that pays creators only 12% of the revenues they generate.

Like any investment, music investing is risky, and returns are not guaranteed. Don't invest more than

Perks will be determined by each offering and are subject to terms and conditions.

you can afford to lose. [Learn more](#)



Introducing **S-NFT**

Own a piece of your favorite songs

The **Security Non-Fungible Token (S-NFT)*** is the first of its kind that combines the power of blockchain technology and an innovative investing framework, bringing artists and their fans closer than ever.

[Learn more](#)


It's new. So ask away

- Who can invest in music? +

- What am I investing in? +

- How do I earn a return on my investment? +

- Why do I need to verify my identity with Republic to invest? +



Artists: Let your fans invest in your next project

In the new world order, the early supporters are rewarded, the artists are paid, and the community grows stronger.

Securities offerings facilitated by Republic and its affiliates through its various platforms, including any music or entertainment related investing verticals are in no way sponsored, administered or associated with UMG Recordings, Inc. or Republic Records.

**S-NFT" or "Security-Non-Fungible Token" is a new term, developed by Republic to describe a non-fungible digital asset offered and sold as a "security", as defined by the Securities Act of 1933 and the Exchange Act of 1934 (the "Securities Acts"). Blockchain technology is a rapidly expanding and changing area that is effected by the Securities Acts as well as state, federal and international law. Republic intends for offerings of S-NFTs to be conducted pursuant to exemptions from registration under the Securities Acts as facilitated by members of the Republic ecosystem. S-NFTs are a work in progress and therefore Republic and each Issuer of S-NFTs reserve the right to re-characterize the digital asset. Please review the terms, conditions and offering disclosures regarding an offering of S-NFTs for a full description of their characteristics, including but not limited to economic rights, fungibility, purchase and trading restrictions and the underlying technology of each S-NFT offered. Investments in private securities are inherently risky, illiquid and subject to a total loss of capital.

With regard to communications by an issuer to gauge interest in a potential securities offering pursuant to the Regulation CF exemption from the registration requirements of the Securities Act, including opportunities to "pre-sell" securities, as indications of interest in the potential offering, please note that pursuant to Regulation Crowdfunding Rule 208 (b) that no money or other

Investing opportunities are listed as securities or transactions or investments in the offering, please note that pursuant to Regulation Crowdfunding, this use to raise the money or other consideration is being solicited thereby, and if sent in response, will not be accepted, (ii) no offer to buy the securities can be accepted and no part of the purchase price can be received until the offering statement is filed and only through a registered intermediary's platform, (iii) any such offer may be withdrawn or revoked, without obligation or commitment of any kind, at any time before notice of its acceptance is given after the Form C is filed, and (iv) an indication of interest is non-binding and involves no obligation or commitment of any kind. Crowdfunding securities investments are inherently risky and illiquid and may result in a total loss.



Giving everyone access to early-stage startup investing



For investors

- Why invest
- How it works
- FAQ
- Risks
- Privacy policy

For startups

- Why raise
- Learn
- FAQ
- Instruments
- Crowd SAFE

Crypto

- For investors
- For companies
- How it works
- Token DPA

Company

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- Journal
- Events
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- We're hiring!

NEW Refer a startup, get \$2,500

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All broker-dealer related securities activity is conducted by OpenDeal Broker LLC, an affiliate of OpenDeal Inc. and OpenDeal Portal LLC, and a registered broker-dealer, and member of FINRA | SIPC, located at 1345 Avenue of the Americas, 15th Floor, New York, NY 10105, please check our background on FINRA's BrokerCheck.

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Investors should verify any issuer information they consider important before making an investment.

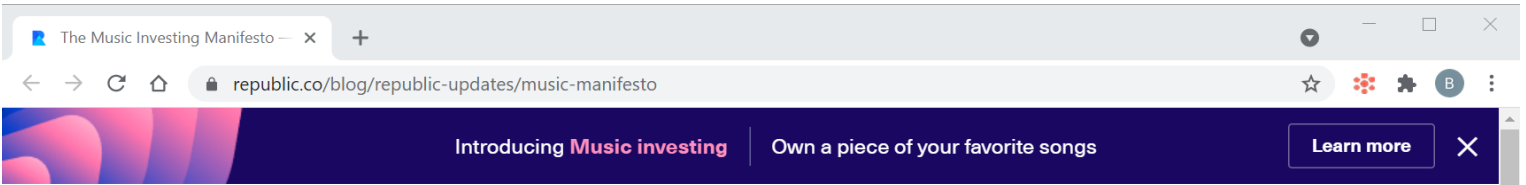
Investments in private companies are particularly risky and may result in total loss of invested capital. Past performance of a security or a company does not guarantee future results or returns. Only investors who understand the [risks of early stage investment](#) and who meet the Republic's [investment criteria](#) may invest.

Neither OpenDeal Inc., OpenDeal Portal LLC nor OpenDeal Broker LLC verify information provided by companies on this Site and makes no assurance as to the completeness or accuracy of any such information. Additional information about companies fundraising on the Site can be found by searching the [EDGAR database](#), or the offering documentation located on the Site when the offering does not require an EDGAR filing.

Made in SF/NYC



Exhibit H



< Journal

Republic updates · Updated October 7, 2021

The Music Investing Manifesto

The music industry is about to change. Republic is introducing a new way for artists to get back control of their creations and for fans to begin a new type of relationship with the musicians they love. Introducing: music investing.



Like



Comments

Great artists focus on one thing: their creations. Musicians spend months in search of perfect harmony or lyrics, architects belabor the most minute details on their building plans, and painters search for the perfect place for their last stroke.

But once they finish their masterpieces, artists must also figure out how to monetize them while also protecting their provenance and future value.

Being a successful artist is hard enough. Getting discovered and making money off of a craft is exceptionally more difficult.

That's where the middleman comes in. Creators of all kinds including musicians, podcasters, painters, writers, performers, directors, and composers are typically forced to use a middleman to share their creations with the world. Whether it's the art gallery, the music label, or

the concert promoter, these functionaries all promise artists the ability to monetize their work in exchange for a healthy cut of the profits and sometimes even ownership of the artist's work.

For decades, the music industry, in particular, has been rife with scandal, controversy, and seemingly endless feuds between the "talent" and the business machines that control them.

Although not all middlemen are bad, some have made headlines in recent years for the underhanded deals they've struck with their clients. Taylor Swift has spoken out about the unfair contract she signed as a teenager, and how her music was sold multiple times without her knowledge or consent. Musicians like Prince and Michael Jackson were known to feud with their record labels too.

That was then.

Now, there's a new revolution bubbling beneath the surface of the music industry. One that still monetizes artistic expression but on very different terms.

Enter: Music investing through security NFTs

Instead of gatekeepers being the only option for artists to create new music, Republic is introducing a straightforward way that helps them raise capital from their fans. For as little as \$100, fans can invest in the success of their favorite artists' new projects and in exchange, own a financial interest in the music.

The S-NFT is a new innovation where the NFT is a representation of a security interest. That means the security that fans are purchasing is represented in their digital wallet and functions as a tool that can distribute royalty payments.

Fans have the opportunity to show their support for their favorite artists by investing in their success. This was once done by buying an artist's record, CD, or MP3—but that system failed the creators—and lined the pockets of record execs.

Now, investors can invest in the artist's next song or album, and get paid royalties as a result.

Investors get to have a financial stake in the rights to the royalties for the song or album. Each time that song gets played, streamed, and licensed,

royalties flow back to the issuer that the investors have equity in, and then profits can flow back to investors' wallets.

In the new world order, the early supporters are rewarded, the artists are paid, and the community grows stronger.

Invest in [Music](#).

"S-NFT" or "Security-Non-Fungible Token" is a new term, developed by Republic to describe a non-fungible digital asset offered and sold as a "security", as defined by the Securities Act of 1933 and the Exchange Act of 1934 (the "Securities Acts"). Blockchain technology is a rapidly expanding and changing area that is effected by the Securities Acts as well as state, federal and international law. Republic intends for offerings of S-NFTs to be conducted pursuant to exemptions from registration under the Securities Acts as facilitated by members of the Republic ecosystem. S-NFTs are a work in progress and therefore Republic and each Issuer of S-NFTs reserve the right to re-characterize the digital asset. Please review the terms, conditions and offering disclosures regarding an offering of S-NFTs for a full description of their characteristics, including but not limited to economic rights, fungibility, purchase and trading restrictions and the underlying technology of each S-NFT offered. Investments in private securities are inherently risky, illiquid and subject to a total loss of capital.

Share this story



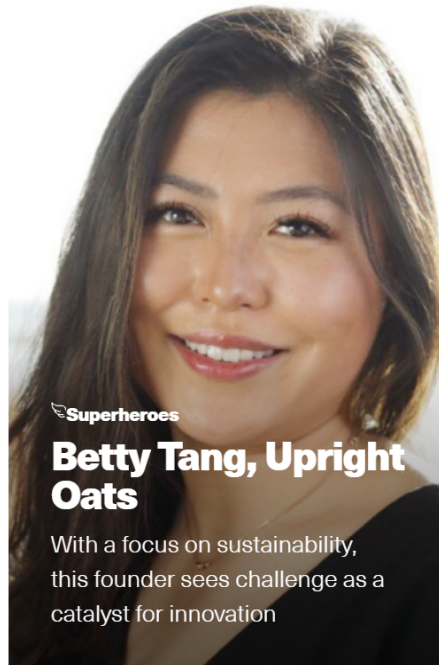
Read next



Republic updates

Investing in music through Security NFTs

Security NFTs (S-NFTs) combine NFT technology with an innovative investing framework. The S-NFT makes it possible for fans to invest capital toward a new song or album and in return, get ownership in the royalty rights.



Superheroes

Betty Tang, Upright Oats

With a focus on sustainability, this founder sees challenge as a catalyst for innovation



Crypto

Epix Industries primer

Solutions, tools, and multiverses for the future of the professional NFT industry

2 comments

Exhibit I



UNIVERSAL MUSIC GROUP

2220 Colorado Avenue, Santa Monica, CA 90404
(310) 865-1708 – phone, (310) 865-1791 – fax
brent.labarge@umusic.com – email

October 8, 2021

VIA EMAIL

Maxwell Rich, Esq.
CCO & Deputy General Counsel
OpenDeal Inc. d/b/a Republic
149 East 23rd Street #2001
New York, New York 10010
compliance@republic.co
team@republic.co
privacy@republic.co

Re: Infringement of Universal Music Group’s REPUBLIC Marks

Dear Mr. Rich:

I am trademark counsel for the Universal Music Group family of companies, including UMG Recordings, Inc. and Republic (collectively, “UMG”). UMG is the owner of the REPUBLIC and REPUBLIC RECORDS trademarks and service marks (collectively, the “Marks”), which are used and registered throughout the United States and the world by UMG in connection with music- and entertainment-related goods and services. I write concerning your company’s unauthorized use of an identical name and to demand an immediate end thereto in the entertainment industry.

As you likely know, UMG’s REPUBLIC label and its roster of artists are among the most iconic and respected in the music industry. As owner of the Marks, UMG has the sole and exclusive right to use the Marks in connection with the offering, sale, and distribution of music- and entertainment-related goods and services throughout the United States. Accordingly, third parties are prohibited from using any trademark or service mark that is confusingly similar to the Marks.

OpenDeal Inc. d/b/a Republic

October 8, 2021

Page 2

In spite of the foregoing, we recently learned that your company plans to start using either the REPUBLIC or REPUBLIC MUSIC name (together, the “Infringing Name”) in connection with goods and services that are offered by a record label, such as sound recordings, merch, event tickets, and royalty payment services. Even your company’s “manifesto” mentions artists who were able to escape “unfair contracts” and are now signed to UMG’s own REPUBLIC label. Representative examples of such unauthorized uses are attached collectively hereto as Exhibit 1.

Your company’s use of an *identical* name to sell goods and services *identical* to those provided by our own record label will inevitably lead to confusion. Absent immediate corrective action, your company’s use of a counterfeit mark will only continue to deceive the public and trade. These improper uses also dilute the Marks and may result in damage to UMG’s well-established reputation and goodwill therein.

This letter shall constitute written notice to you that your company’s use of the Infringing Name constitutes trademark counterfeiting, infringement, unfair competition, and dilution under the federal Trademark Act, 15 U.S.C. §§ 1051 *et seq.* and corresponding state law, potentially subjecting your company to liability for both injunctive relief and monetary damages. To be clear, we do not object to your company’s ongoing use of the REPUBLIC name outside of the entertainment industry. However, within the entertainment industry (and investment vehicles therefor), we hereby demand that your company:

- (1) immediately and permanently cease using the Infringing Name, the Marks, or any other name or mark confusingly similar thereto;
- (2) immediately commence steps to delete or change the names associated with any social media accounts, profiles, or handles that consist of or incorporate the Infringing Name, the Marks, or any other name or mark confusingly similar thereto;
- (3) immediately (a) destroy any and all electronic and paper documents and other materials or content containing uses of the Infringing Name, the Marks, or any other name or mark confusingly similar thereto including, without limitation, prospectuses, websites, social media profiles, streaming platforms, mobile phone applications, music videos, album artwork, © and ® lines, promotional posters, event tickets, stationery, business cards, facsimile coversheets, email signature blocks, and any other advertisements or promotional materials, or (b) modify any such documents, materials, and content to remove these uses;
- (4) communicate these restrictions to any third parties who are promoting or otherwise associated with your company’s goods and services; and
- (5) send us written assurances within **7 days** from the date of this letter expressing your company’s intention to comply with our demands and the planned timetable for doing so.

OpenDeal Inc. d/b/a Republic
October 8, 2021
Page 3

We trust you understand our concerns and we look forward to receiving confirmation that your company will fully and promptly comply with our demands. However, please be advised that UMG will view any continued use of the Marks in the entertainment industry as a willful infringement of its rights.

Nothing in this letter should be construed as a full and complete statement of all relevant facts, or as a waiver, relinquishment, or election of rights or remedies by UMG. UMG expressly reserves all rights and remedies under all applicable laws.

We look forward to your prompt response.

Sincerely,

/Brent S. LaBarge/

Brent S. LaBarge

Enclosures

Exhibit J

Mona Lisa by Lil Pump

Invest in Lil Pump's new single and share in the royalties

MUSIC



TRENDING

SOLD OUT

\$500,000

Maximum funding goal reached

859

Investors

25 days

Left to invest

Join the Waitlist

\$100 minimum investment

Pitch Discussion 136 Updates Reviews 275

Highlights

- Invest in Lil Pump's "Mona Lisa" ft. Soulja Boy and share in the royalties
- Your interest in Mona Lisa Song LLC will be represented by a Security-NFT*
- This will be the mechanism to receive any potential royalty distributions
- Song royalties are accumulated through streaming, licensing, ads, and more
- Royalty distributions to investors are slated to begin in Q1 2022
- Total track streams: Lil Pump 2B+ & Soulja Boy 1B+ (Oct 2021)
- Total monthly Spotify listeners: Lil Pump 7M & Soulja Boy 10M (Oct 2021)

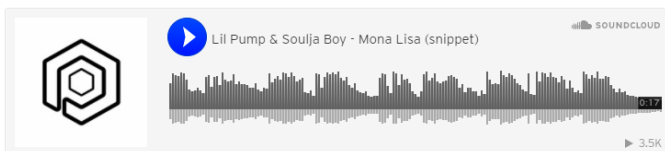
Artists curated by OPULOUS

These artists are brought to Republic's ecosystem by Opulous, a blockchain platform from the founders of award-winning distribution and record label services company Ditto Music.

Song preview

A new single by Lil Pump, featuring Soulja Boy is slated for release in Q4 2021 — and for the first time ever you can share in the financial upside of the track, and support your favorite artists.

Listen to the preview of the track:



Deal terms

Minimum investment **\$100**

Maximum investment **\$50,000**

Funding goal **\$25K - \$500K**

Deadline **December 4, 2021**

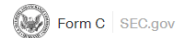
Type of security **Crowd IPA**
[Learn more](#)

Price per security **\$100**

How it works

Documents

Republic (OpenDeal Portal LLC, CRD #283874) is hosting this Reg CF securities offering by Mona Lisa Song LLC. View the official SEC filing and all updates:



Company documents

Mona Lisa by Lil Pump IPA

Mona Lisa Song Form C.pdf

Bonus perks

In addition to your Crowd IPA, you'll receive perks for investing in Mona Lisa by Lil Pump.

Invest 953 investors

A new way to invest

<https://republic.co/lil-pump>

November 8, 2021



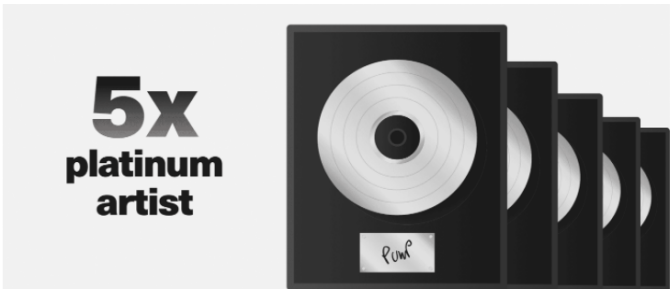
The Security Non-Fungible Token (S-NFT) is the first of its kind that combines the power of NFT technology and an innovative investing framework. This new way of investing allows you to receive rights to the royalties through a limited edition NFT representation. There are only 5,000 tokens available and there will never be more produced.

Lil pump bio



Lil Pump is one of today's most popular hip-hop artists. He made a name for himself pushing the punk-inspired SoundCloud rap scene from underground to mainstream.

The twenty-one-year-old got his worldwide breakthrough in 2018 with the song "Gucci Gang". It rose to the third spot on the Billboard Hot 100 and was streamed well over 600 million times. Similar numbers were reached with the instantly recognizable collaboration with Kanye West, "I Love It." Other tracks with Lil Wayne, YG, French Montana and Lil Uzi Vert gained similar success as evident in high streaming numbers.



Lil pump collabs



\$100

Receive

- Investors who invest at least \$100 will receive a Bronze level NFT with exclusive artwork.

Limited (47 left of 1000)

Invest 100 investors

\$1,000

Receive

- Investors who invest at least \$1,000 will receive a Silver level NFT with exclusive artwork.

Sold out (0 left of 100)

You can still **invest \$1,000** without the perk.

Invest 26 investors

\$5,000

Receive

- Investors who invest at least \$5,000 will receive a Gold level NFT with exclusive artwork.

Limited (24 left of 50)

Invest 7 investors

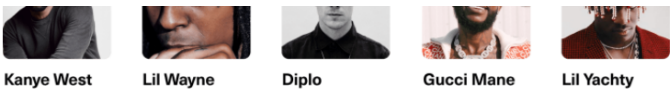
\$10,000

Receive

- Investors who invest at least \$10,000 will receive a Platinum level NFT with exclusive artwork.

Limited (3 left of 10)

How you get paid...
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 Soulja boy collab...
 Global social rea...
 Previous track pe...
 Exclusive investo...
 Investment econ...
 How you get paid...
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Kanye West **Lil Wayne** **Diplo** **Gucci Mane** **Lil Yachty**

The Miami-bred rapper tours frequently and is present on social media. He has a huge and loyal following across Facebook, YouTube, Instagram, Twitter & TikTok — over 41M+ — that are keeping a close eye on the eccentric personality that is Lil Pump

Soulja boy bio

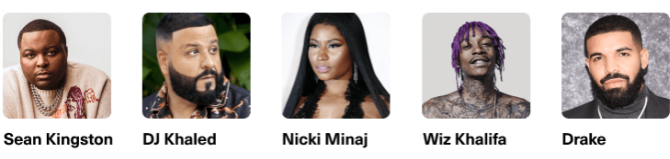


Throughout Soulja Boy's career, he has been a pioneer of making his work available in new ways. He was one of the first rappers to utilize MySpace and Youtube. This led to mainstream success with bangers such as "Crank That" and "Kiss Me Thru the Phone" that earned him several Grammy Award nominations.

In addition to many hits over the last decade, the Chicago born rapper ventured into the gaming world as well. He released his own console and has almost 1 million followers on the game streaming platform Twitch.

The entrepreneurial artist is always one step ahead. This gained him a loyal audience, with a large social reach across Facebook, YouTube, Instagram, Twitter & TikTok — over 15M+ — and generating over 10 million streams on Spotify per month.

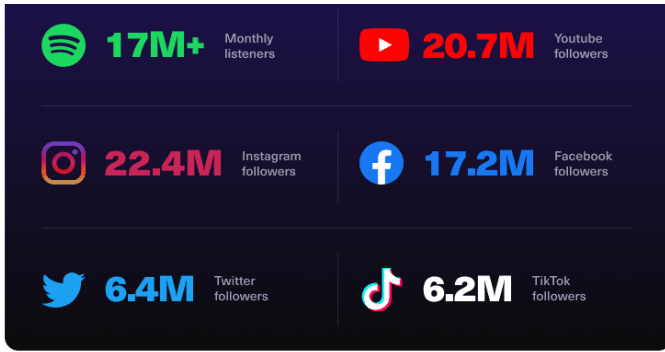
Soulja boy collabs



Sean Kingston **DJ Khaled** **Nicki Minaj** **Wiz Khalifa** **Drake**

Global social reach

3+ Billion streams, 17+ Million monthly listeners combined



Previous track performance

Some of the top tracks by Lil Pump include...

Track	Release date	Overall streams*	Total Streams +download revenue**
I Love It (with Kanye West)	Sept 2018	801,000,000	\$5,020,000
Arms Around You (feat. Maluma & Swae Lee)	Oct 2018	696,290,000	\$4,360,000
Gucci Gang	Oct 2017	856,860,000	\$5,370,000
Welcome the the Party (with French Montana ft. Zhavia Ward)	May 2018	292,430,000	\$1,830,000
Coronao Now	May 2020	143,430,000	\$900,000
Esskeetit	Apr 2018	336,000,000	\$2,100,000

*Numbers as of Q3 2021
 **Represents revenue from Master & Publishing rights
 Previous song performance does not guarantee future results, please review the full terms of the Offering when assessing the suitability of the investment opportunity.

Exclusive investor perks

With your investment, get exclusive perks!

\$100

● **Bronze**

1,000 investors who invest at least \$100 will receive an NFT with exclusive artwork.

\$1,000

● **Silver**

100 investors who invest at least \$1,000 will receive an NFT with exclusive artwork.

\$5,000

\$10,000

Investment econ...
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EXCLUSIVE INVESTO...
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Previous track pe...
Exclusive investo...
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\$5,000

- **Gold**

50 investors who invest at least \$5,000 will receive an NFT with exclusive artwork.







\$10,000

- **Platinum**

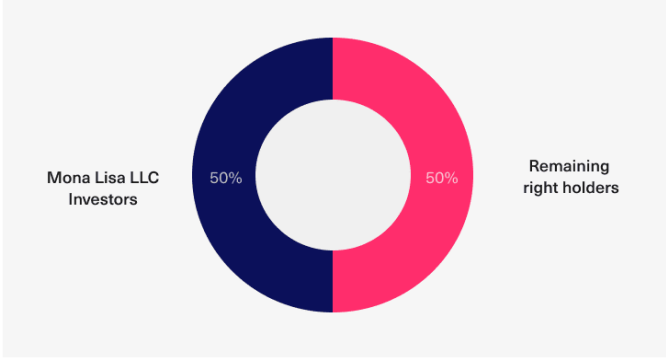
10 investors who invest at least \$10,000 will receive an NFT with exclusive artwork.

Investment economics

You will get a share of potential profits generated by the master record:

 <p>Streaming Spotify, Apple Music, YouTube, Amazon Music, TikTok + more</p>	 <p>Download Downloads on iTunes, Amazon Music, etc.</p>
 <p>TV Broadcast Royalties are paid when the track is broadcast to TV</p>	 <p>Radio Broadcast Royalties are paid when the track is played on the radio</p>
 <p>Public Performance Public performance of musical works</p>	 <p>Sync Adverts, games, films, trailers, TV programming</p>

Mona Lisa Song LLC has obtained 50% of the master rights and 50% of the publishing rights to Mona Lisa Song, which entitles it to receive 50% of any potential future royalty payments



How you get paid

Mona Lisa LLC plans to distribute royalty distributions quarterly in USDC. The LLC reserves the right to change the frequency of distributions.

- 1**
Song earns revenue from streaming, licensing, etc.
- 2**
Royalty payments are collected at relevant rates
- 3**
Payments converted to USDC and distributed to Mona Lisa song LLC
- 4**
Payments distributed to investor's wallets on a quarterly basis

<p>Master and publishing rights</p> <p>50%</p>	<p>How long the revenues will be distributed to you</p> <p>Perpetuity</p>
<p>The track will start streaming</p>	<p>Payments will be paid to investors each quarter</p>

FAQ
Exclusive investo...
Investment econ...
How you get paid...

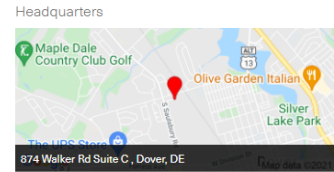
04/21 Quarterly
\$ 100 min. [Join the Waitlist](#)

About
Investment econ...
How you get paid...

About Mona Lisa by Lil Pump

Legal Name
Mona Lisa Song LLC
Founded
Aug 2021
Form
Delaware LLC

Employees
0
Social Media
None



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exclusive investo...
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About
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How you get paid...
How you get paid...

Mona Lisa by Lil Pump Team

Everyone helping build Mona Lisa by Lil Pump, not limited to employees

[Pitch](#) [Discussion](#) 136 [Updates](#) [Reviews](#) 275 [Join the Waitlist](#)

Song preview
A new way to inv...
Lil pump bio
Lil pump collabs
Soulja boy bio
Soulja boy collab...
Global social rea...
Previous track pe...
Exclusive investo...
Investment econ...
How you get paid...
About
Team ▶
FAQ
Risks
Disoussion
Soulja boy collab...
Global social rea...
Previous track pe...
Exclusive investo...
Investment econ...
How you get paid...

Lee Parsons
CEO
Miles Carroll
COO
Fernando Cruz
CMO

FAQ

[What am I investing in?](#) >

Why do I need to verify my identity with Republic to invest?

How do you select Music investment opportunities

What wallet do I need to provide?

Which payment methods can I use?

[Show all FAQ](#)

What am I investing in?

When you invest in this music offering through Republic, you are buying special membership units of an LLC that owns 50% of the master and publishing rights to the song "Mona Lisa" by Lil Pump ft. Soulja Boy. The membership interest may be represented by a security non-fungible token (an S-NFT) which is a representation of that ownership and the vehicle in which returns are distributed.

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Risks

We have a limited operating history upon which you can evaluate our performance, and accordingly, our prospects must be considered in light of the risks that any new company encounters. >

There is no assurance that the Company will be able to continue as a going concern.

We rely on various intellectual property rights associated with the Work in order to operate our business.

Our royalty payment scheme is complex, and it is difficult to estimate the amount payable under our license agreements.

The Company's sole source of revenue will be derived from the Work

The Company is still in an early phase and is just beginning to implement its business plan. There can be no assurance that it will ever operate profitably. The likelihood of its success should be considered in light of the problems, expenses, difficulties, complications and delays usually encountered by companies in their early stages of development. The Company may not be successful in attaining the objectives necessary for it to overcome these risks and uncertainties.

The Company's sole source of revenue will be derived from the work.

Show all Risks

Discussion



Jeffrey Bernard

Investor in [Mona Lisa by Lil Pump](#) · about 5 hours ago

When will we receive an email on instructions to claim the nft. My purchase already went through on my card but I have not received an email regarding this.

1 like · Reply



Fernando Cruz

@jeffrey-bernard-1 Hey Jeffrey. It will take up to 4 weeks for us to deliver the tokens to your wallet. While Republic concludes the sale process we are finalizing an area on our website where you will be able to connect your wallet and claim the tokens. We will keep you updated about it.
Please take a look at this article we have posted on our Medium profile.
<https://opulous.medium.com/you-secured-your-first-s-nft-heres-what-s-next-1d5cdee0d21b>

Like · Reply · [Affiliate of Mona Lisa by Lil Pump](#) · About 2 hours ago



Christopher Morris

@fernando-cruz-1 Hey Fernando. So there will be no way for me to change my wallet information to myalgo wallet? I've been going back and forth with support to try and figure out but they told me that I either would have to cancel and go on wait list or wait for them to get back to me. I just want a clear answer so I can figure out what to do. Thanks.

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Jeffery Johnson

Investor in [Mona Lisa by Lil Pump](#) · about 5 hours ago

Communication is everything

It should have started off:

Before you invest you must have this particular wallet. 🤖

Like · Reply



Travis Sandifer

@jeffery-johnson Hi, it's Algorand Wallet? My Algo?

Like · Reply · About 2 hours ago



Fernando Cruz

@travis-sandifer We will make sure we add this information for the sale so you don't have any problems in the future. For this first sale we are only accepting My Algo Wallet. That's a pre-requisite for token claim system, we are working to integrate other Algorand wallets for the future ones.
You can find more information about the distribution here:
<https://opulous.medium.com/you-secured-your-first-s-nft-heres-what-s-next-1d5cdee0d21b>

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Christopher Morris

Investor in [Mona Lisa by Lil Pump](#) · about 7 hours ago

So to all those who used the wrong wallet you have to cancel your investment and go on the waitlist to redo it.

Like · Reply



Jeffery Johnson

Investor in [Mona Lisa by Lil Pump](#) · 1 day ago

Can someone plz tell me how to add or set up my wallet for this? There are no instructions and I'm receiving emails about losing my investment if I don't. All help is welcome. Thx

2 likes · Reply

[View 1 more reply](#)



Jeffery Johnson

@matthew-stromberg I now have a algorand wallet. How do I link it or what's the next step.

Like · Reply · [Investor in Mona Lisa by Lil Pump](#) · About 20 hours ago



Futures Futures

@jeffery-johnson When I invested, there was a section where I can input the wallet address of myalgowallet. It should have been in the form right when you clicked on ingest and enter your card info etc. if you can't open that form again, try contacted republic support.

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Jeffery Johnson

@matthew-stromberg Nothing for me. It's cancelled now. No worries

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Clouttia Young

Investor in [Mona Lisa by Lil Pump](#) · 1 day ago

I set up my wallet but it I am getting notices saying that I didn't. Could I get some assistance so my investment doesn't get canceled?

♥ 1 like ↩ Reply

George Andrew Simons CDP.

Investor in [Mona Lisa by Lil Pump](#) · 2 days ago

Am I an investor of security NFT of Lil-Pump ft Soulja Boy "Mona Lisa" song ??

♥ 2 likes ↩ Reply

Denise L Ortiz

Exhibit K

SEARCH...

MUSIC BUSINESS WORLDWIDE

NEWS INTERVIEWS ANALYSIS PODCAST JOBS SUBSCRIBE

REPUBLIC RECORDS RECORD LABEL

republic records

FOUNDED	1995
HEADQUARTERS	NEW YORK
ONLINE	WEBSITE INSTAGRAM TWITTER LINKEDIN
PARENT	UNIVERSAL MUSIC GROUP
SUBSIDIARIES	CASH MONEY RECORDS

Republic Records is a prominent frontline record label owned by [Universal Music Group](#).

The company was founded by brothers Monte and [Avery Lipman](#) in 1995 as a subsidiary of MCA's Geffen Records, but the label's distribution later moved to Universal Records.

[Monte Lipman](#) then became the President of the Universal Records label, while [Avery Lipman](#) became Republic Records' President.

Republic's first signing was the Bloodhound Gang.

[Avery Lipman](#) has stated that he and [Monte Lipman](#) conceived the idea for Republic Records at the kitchen table in their apartment. They had been working at record companies and he was in between jobs when they started putting out records, just as a hobby. Their approach was mostly a grassroots approach and the first record they put out was a major success.

The label's first huge success came in the form of British group Chumbawamba, signed as a joint venture with Universal Records. The band's debut album *Tubthumper* went on to sell over 10 million copies worldwide.

Other early Republic Records signings included Three Doors Down and Godsmack.

In 2000, Universal Music Group acquired a controlling interest in Republic Records.

In 2006, UMG merged Universal Records and Republic Records to create Universal Republic Records, appointing [Monte Lipman](#) as President and CEO of the label and [Avery Lipman](#) as Co-President and COO.

Universal Republic Records changed its name back to the original Republic Records in 2012.

In 2013, UMG signed new long-term agreements with Republic Records founders [Monte Lipman](#) and [Avery Lipman](#) and they became Chairman & Chief Executive Officer and President & Chief Operating Officer of Republic, respectively.






In 2015, Republic Records signed Post Malone. [Taylor Swift](#), an iconic pop star, signed a direct deal with Republic Records in 2018; her music was previously released through [Big Machine Records](#).

Today, Republic Records' roster includes superstar artists such as [Ariana Grande](#), [Black Sabbath](#), [Florence + the Machine](#), [Hailee Steinfeld](#), [James Blake](#), [James Bay](#), [John Mellencamp](#), [Julia Michaels](#), [Kid Cudi](#), [Liam Payne](#), [Of Monsters and Men](#), [Post Malone](#), [Seth MacFarlane](#), [Stevie Wonder](#), [Taylor Swift](#) and more.






The label is also comprised of various business ventures, including American Recordings (The Avett Brothers), Boominati Worldwide (Metro Boomin), Brushfire (Jack Johnson), Casablanca Records (Tiesto), Cash Money (Drake, Jacques, Nicki Minaj), Hollywood Records (Sofia Carson, Zendaya), Lava Records (Greta Van Fleet, Jessie J, Lorde), Monkeywrench (Pearl Jam), XO (The Weeknd, Belly, NAV), Young Money (Drake, Lil Wayne, Nicki Minaj), Roc Nation (Jaden Smith, Justine Skye, The Dream), among others.

Republic also maintains a long-standing strategic alliance with country powerhouse Big Machine Records, and Universal Music Latin Entertainment. In addition, Republic has expanded to release high-profile soundtracks for Universal Pictures (*Fifty Shades of Grey*, *SING*), Fox TV (*Lee Daniels' STAR, The Four*), and NBC TV (*The Voice*), as well as other notable film and television franchises. **MBW**






LATEST MUSIC BUSINESS JOBS

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-  LOS ANGELES | THE MUSIC MARKET THE MUSIC MARKET/ARTS AND MEDIA - PRODUCTION ACCOUNT MANAGER (US)
-  LONDON | BMG BMG - MANAGER - PRODUCTION (UK)
-  LONDON | BMG BMG - DIRECTOR - INCOME TRACKING & ANALYTICS (UK)

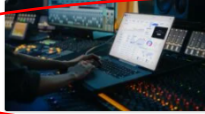
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REPUBLIC RECORDS IN THE NEWS



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ANOTHER BIG CRYPTO DEAL IN MUSIC, AS OPULOUS INKS NFT PARTNERSHIP WITH JAPANESE GIANT LINE
LINE owns LINE Music and the LINE messaging app, and generated \$1.5 billion in 2020



NEWS
BILLY PORTER SIGNS WITH ISLAND UK AND REPUBLIC RECORDS
Porter has also released a new single entitled, Children



NEWS
OPULOUS TEAMS UP WITH LIL PUMP AND EDM STAR KSHMR TO LET FANS INVEST IN THEIR MUSIC
Opulous closed a \$6.5 million funding round in June



NEWS
STEVE CARLESS JOINS WARNER RECORDS AS PRESIDENT, A&R
Prior to Warner, Carless was Executive Vice President of A&R at Universal Music Group's Republic Records



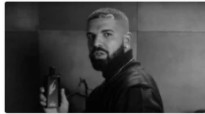
NEWS
REPUBLIC RECORDS CHINA SIGNS POP STAR DAISY XUE AS ITS FIRST ARTIST
Artist's hit The Love Party has been viewed over 4.5bn times on Douyin



ANALYSIS | NEWS
DRAKE'S CERTIFIED LOVER BOY HAD A HUGE FIRST WEEK ON SPOTIFY... BUT NOT AS BIG AS SCORPION'S
The numbers are in... and they show Drizzy's latest LP was around 60 million streams short of his record in week one



NEWS
FROM DRAKE AND KANYE'S BLOCKBUSTER ALBUMS TO APPLE MUSIC'S NEW DJ MIX TECHNOLOGY: IT'S MBW'S WEEKLY ROUND-UP
The biggest stories of the past five days – and why they matter



NEWS
KANYE WEST HITS 775M STREAMS IN DONDA'S FIRST WEEK... AS DRAKE SMASHES HIS OWN SPOTIFY RECORD
A look at the streaming numbers from two blockbuster albums released last week

1 2 ... 35 NEXT

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Exhibit L



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So Producers.. Republic Records is about to launch a platform where you can invest into songs dropping by MAJOR artists & share in the royalties/splits & rights of the songs.. just like you can invest into #crypto & #NFTs..

Thoughts??

interest that's directly connected to the master rights of the music. This ownership gives you the right to share in royalties with the artist and other investors.

In the next week, you'll be hearing from us with more details on the upcoming launches, the technology behind the Security NFT, and official drop dates.

The artists

The first artist planned to go live is rap royalty **Lil Pump**. He'll be offering fans and investors the opportunity to own a piece of his forthcoming single "**Mona Lisa**" (feat. Souja Boy), produced by Jimmy Duval. "Mona Lisa" brings together two of this generation's most influential rap superstars in one track.

Republic Records
6:10 PM · Oct 19, 2021 · Twitter for iPhone

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California wildfire season is not over despite heavy rains, fire officials say

Careers Insider · 3 hours ago

Why Gen Z workers are changing

Exhibit M



▶	Bitcoin	\$62,186.55	-2.51%	Ethereum	\$4,524.44	+0.29%	XRP	\$1.16	+2.25%	Solana	\$224.46	+
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Crypto Prices →

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Business

Securities Meet NFTs With Investment Platform Republic's New Music Vertical

Lil Pump is involved.

By Will Gottsegen

🕒 Oct 6, 2021 at 8:32 a.m. EDT ·

Updated Oct 6, 2021 at 9:35 a.m. EDT ·



Lil Pump is seen on June 15, 2021 in Los Angeles. (JOCE/Bauer-Griffin/GC Images)

Republic – the financial services company, not the record label – has announced the launch of “Republic Music,” a loosely defined investment product that claims to offer “an entirely new way to create, produce and share royalties from music.”

The result of a partnership with a crypto company called **Opulous, Republic Music** gives listeners the chance to “invest in the music they love for as little as \$100 and share in the rights to royalties.”

The idea revolves around something called “S-NFTs,” or “Security NFTs.”

New path for NFTs?

NFTs are non-fungible tokens – a kind of cryptocurrency that can be attached to media files and treated as proof of ownership. Crypto companies usually argue that NFTs are not securities, since securities in the U.S. need to be regulated by the Securities and Exchange Commission (SEC).

Republic seems to be taking the opposite tack, leaning into the idea that these S-NFTs are a kind of security – something you can invest in with the expectation of profit down the line.

“The songs are going to be placed in an LLC, and you will be a member of the LLC,” said Pialy Aditya, Republic’s chief strategy officer, in an interview. “You will have a share of ownership in that song, and a right to the royalty on the back end.”

That means S-NFTs are overseen by the SEC and the Financial Industry Regulatory Authority (FINRA), the finance industry’s self-regulatory body; investors based in the U.S. will need to comply with relevant KYC / AML (know your customer / anti-money laundering) regulations before they can get a piece of the action.

Read more: [3LAU Raises \\$16M to Tokenize Music Royalties for Artists and Fans](#)

It hinges on what’s known as “Reg CF,” or “Regulation Crowdfunding,” which lets private companies raise up to \$5 million from non-accredited investors in Kickstarter-like campaigns.

Republic also says it’s brought on rapper and one-time Donald Trump affiliate Lil Pump to sweeten the deal.

“As part of the first wave of planned releases, Lil Pump is offering fans and investors the opportunity to be part of his forthcoming single ‘Mona Lisa (feat. Soulja Boy)’, produced by Jimmy Duval,” a press release said.

Latest experiment

Companies have long tried to put digital music “on the blockchain” in a way that makes sense to consumers; a crypto streaming service called Audius is probably the biggest name in the space, but smaller projects like [Catalog](#), [Songcamp](#) and [Nina](#) have also generated buzz.

More recently, crypto-conscious DJ 3LAU rolled out a similar royalties play called [Royal](#), which bypasses the securities angle entirely.

Republic considers its music platform a “vertical” of its primary investment business; it’s planning several other verticals geared toward fractional investments in different aspects of the creator economy.

“I think in the New World Order, early supporters are going to be rewarded, the artists are going to get paid and the community is going to grow stronger,” Republic’s Aditya said.

DISCLOSURE

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Will Gottsegen

Will Gottsegen is CoinDesk's media and culture reporter. He holds some NFTs, which you can find at [smush.eth](#).

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Exhibit N

Marketing

Opulous to sell 'S-NFTs' for artists Lil Pump and KSHMR

October 7, 2021

Tags: [Blockchain](#) [Ditto Music](#) [KSHMR](#) [Lil Pump](#) [NFTs](#) [Opulous](#) [Republic](#) [Republic Music](#)



Opulous is the blockchain-based spin-off from distributor Ditto Music that [launched earlier this year](#), initially as a decentralised finance (DeFi) scheme for artists, before [expanding into selling music NFTs](#) with artists like Lil Yachty.

Now the company is exploring that area further through a partnership with Republic. No, not the major label imprint (imagine!) but a company that runs a startups investment platform.

The two firms will be working with artists Lil Pump and KSHMR to sell 'S-NFTs' – a term they've coined (it stands for Security NFTs) to describe NFTs that are sold as 'securities' under US financial regulations. And, like a growing number of music NFTs, these ones will include a share of the artists' future streaming royalties.

Lil Pump is kicking it off by offering shares in his next single, as part of a dedicated [Republic Music offshoot](#). Slogan: "Turn your playlist into your portfolio."

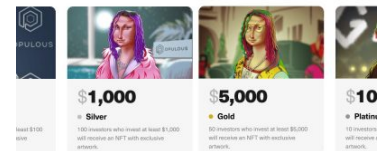
The most 2021 of phrases, for better or worse...

Stuart Dredge

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Lil Pump and Soulja Boy music NFT raises \$500k on Opulous



Hybe reports financials, seeks new group and preps BTS NFTs



Notes from Most Wanted: Music: NFTs, indie revenues and AI music

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